



Angel One Limited

(Formerly known as Angel Broking Limited)

ARCHIVAL POLICY

(Pursuant to Regulation 30 (8) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015)

<i>Particlars</i>	<i>Date</i>	<i>Version</i>
<i>Policy adopted</i>	<i>July 11, 2018</i>	<i>1.0</i>
<i>Policy reviewed and approved</i>	<i>January 28, 2021</i>	<i>1.1</i>
	<i>April 20, 2022</i>	<i>1.2</i>
	<i>October 13, 2022</i>	<i>1.3</i>



1. Preface:

The Securities and Exchange Board of India (“**SEBI**”) has notified the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (the “**Listing Regulations**”) on September 2, 2015, which came into effect on December 1, 2015.

In terms of Regulation 30(8) of the Listing Regulations, Angel Broking Limited (the “**Company**”) shall disclose on its website, all such events or information which have been disclosed to the stock exchange(s) pursuant to the Listing Regulations. Further, all such disclosures shall be maintained in physical form and archived as per the Company’s Policy on Preservation of Documents.

In terms of the aforesaid provisions, this Archival Policy (the “**Policy**”) has been formulated by the Board of Directors (the “**Board**”) of the Company.

2. Purpose of the Policy:

The purpose of this Policy is to prescribe a policy under Regulation 30 (8) of the Listing Regulations on the archival of any of the material events or information which is disclosed by the Company to the stock exchange(s) under these Regulations and host the same on the website of the Company for making information accessible to the general public for taking well-informed decisions. The other purpose of the Company to frame this Policy is the maintenance of the Company’s historical data and record hosted on the website at one place, in known locations for making it accessible to staff, shareholders of the Company and its prospective investors.

Apart from the events specified under Para A of part A of Schedule III, that are deemed to be material events under Regulation 30(2) of the Listing Regulations, the Company shall consider the criteria specified in clause (i) of Regulation 30(4) of the Listing Regulations, as amended from time to time, for determination of materiality of events specified in Para B of part A of Schedule III of the Listing Regulations.

3. Definition:

“**Act**” shall mean the Companies Act, 2013 and the Rules framed thereunder, including any modifications, clarifications, circulars or re-enactment thereof.

“**Board of Directors**” or “**Board**” means the Board of Directors of the Company.

“**Company**” means **Angel One Limited (Formerly known as Angel Broking Limited)**.

“**Document**” means any register, record or other document mandatorily required to be maintained by the Company as per the provisions of the Companies Act, 2013 and the rules made thereunder, the Securities and Exchange Board of India Act, 1992 and the regulations issued by the Securities and Exchange Board of India including any statutory modification and



amendments or re-enactments thereof or under any other law for the time being in force whether maintained physically or electronically.

“**Policy**” means this Policy for Archive, Preservation and Disposal of Documents.

“**Listing Regulations**” shall mean and include the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 including any modifications, clarifications, circulars or re-enactment thereof.

“**SEBI**” means the Securities and Exchange Board of India.

“**SEBI LODR**” means the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. Words and phrases used in this Policy and not defined herein shall derive their meaning from the Applicable Law.

“**SEBI Regulations**” means the regulations made by SEBI in accordance with the Securities and Exchange Board of India Act, 1992 (the SEBI Act).

Any other term not defined under these presents shall have the same meaning as defined in the Companies Act, 2013 or the rules made thereunder, the SEBI Act or the SEBI Regulations.

4. **Policy:**

Any disclosure of events or information which has been submitted by the Company to the stock exchange(s) under the Listing Regulations and Policy of the Company (“**Disclosed Information**”) shall be available on the website of the Company for a period of five years from the date of its disclosure. Disclosed Information which is more than five years old will be archived and moved to the folder named “Historic Material Events / Information”.

The events / information so archived under “Historic Material Events / Information” shall be preserved for a further period of three years or till the time such events or information are resolved or closed, whichever is later.

In addition to maintaining the records on the website, the Company shall also maintain the physical copies of such disclosure made to the stock exchanges(s) which shall be preserved for the period as per the Company’s Policy on Preservation of Documents.

5. **Communication of this Policy:**

Adoption of this Policy shall be communicated to the stock exchange(s) where the Company’s Equity Shares are listed.

6. **Disclosures:**

The Company shall disclose the Policy on its website www.angelone.in



7. Disposal or Destruction of the Documents:

All the registers, records, documents, covered under this Policy, may be destroyed after the expiration of the applicable preservation period and the list of registers, records, documents destroyed, in accordance with this Policy, shall be placed before the Departmental Head for its information. Any disposal of registers, records, documents, which is not in accordance with this policy, shall be subject to prior approval by the department head(s). This applies to both physical and electronic documents.

8. Applicability & Modifications:

This Policy duly approved by the Board of Directors of the Company on July 11, 2018 shall be applicable with effect from July 11, 2018 and future amendments/ modifications shall take effect from the date stated therein.

The Board of Directors, may in their discretion and on recommendation of the any committee of the Board constituted for this specific purpose, review the Policy and make any changes/modifications and/or amendments to this Policy from time to time. In the event of any conflict between the provisions of this Policy and of the Act or Listing Regulations or any other statutory enactments, rules, the provisions of such Act or Listing Regulations or statutory enactments, rules shall prevail over and automatically be applicable to this Policy and the relevant provisions of the Policy would be amended/modified in due course to make it consistent with the law.

The Board of the Company shall also have the right to withdraw and / or amend any part of this Policy or the entire Policy, at any time, as it deems fit, or from time to time, and the decision of the Board in this respect shall be final and binding.