



Account  
Opening Form for  
Non-individual

Branch Code	
AP Code	

FOR CSO USE ONLY

Form No.	1234567890
Client Code	
DP ID	
Ver: 2.3	June 2024

Equity & Commodity



- PORTFOLIO TRACKER
- ALERTS & REMINDER
- FAMILY PORTFOLIO
- STOCK SCREENER & CHARTS
- RESEARCH & MARKET INFO
- ONLINE TRADING

## KYC Checklist



## KYC Checklist

\*Copies of all documents to be self attested.

- ☐ Passport size photo      ☐ PAN Card
- ☐ Signed across      ☐ Pan Verification
- ☐ Clients signature on all required Places
- ☐ In person verification stamp on all docs (Individuals)
- ☐ Original seen and verified stamp on all docs
- ☐ Witness Signature      ☐ All Copies Self Attested
- ☐ Agreement Date      ☐ Financial Documentary Proof

- ☐ Pan Card of Karta
- ☐ Address Proof of Karta
- ☐ Pan Card (HUF)
- ☐ Deed of Declaration of HUF
- ☐ Address proof of HUF
- ☐ Signature Coparcener on POA
- ☐ HUF Stamp
- ☐ HUF Demat Proof (Trading only)
- ☐ List of Coparceners
- ☐ Photograph of Karta
- ☐ Bank Pass Book in the name of HUF
- ☐ Bank Statement in the name of HUF

Holders: ☐ 1st holder ☐ 2nd holder ☐ 3rd holder  
☐ PAN card with Photograph ☐ UID (Aadhaar)  
 Any other Supporting doc:-----

Holders:    ☐ 1st holder      ☐ 2nd holder      ☐ 3rd holder

<input type="checkbox"/> Ration Card	<input type="checkbox"/> Valid Passport
<input type="checkbox"/> Voter ID	<input type="checkbox"/> Valid Driving License
<input type="checkbox"/> Bank Passbook (Not more than 3 months old)	<input type="checkbox"/> Electricity Bill (Not more than 2 months old)
<input type="checkbox"/> Land line Bill (Not more than 2 months old)	<input type="checkbox"/> Bank Statement (Not more than 3 months old)
<input type="checkbox"/> Registered Lease or Sale Agreement	<input type="checkbox"/> Bank Verification Letter

Any other Supporting doc: \_\_\_\_\_

- ☐ Ration Card
- ☐ Voter ID
- ☐ Bank Passbook
- ☐ Land line Bill  
(Not more than 2 months old)
- ☐ Sell Agreement
- ☐ Bank Verification Letter
- ☐ Valid Passport
- ☐ Valid Driving License
- ☐ Electricity Bill  
(Not more than 2 months old)
- ☐ Bank Statement  
(Not more than 3 months old)
- ☐ Leave & Licence Agreement  
(Not more than 3 months old)

(HUF, NRI, Corporate) \_\_\_\_\_

☐ Cancelled cheque      ☐ Bank Statement

☐ Pass book              ☐ Letter from bank

- ☐ Copy of ITR Acknowledgement
- ☐ Copy of Annual Accounts
- ☐ Copy of Form 16 in case of salary income
- ☐ Net worth certificate
- ☐ Salary Slip
- ☐ Bank account statement for last 6 months
- ☐ Copy of demat account Holding statement.
- ☐ Any other relevant documents substantiating ownership of assets.
- ☐ Self declaration along with relevant supporting

- ☐ MOA, AOA & COI
- ☐ Photo of Directors
- ☐ Company Address Proof
- ☐ Form 18
- ☐ All Director's PAN
- ☐ Last 2 Years Financials  
(to be Submitted every year)
- ☐ Copy of latest holding pattern  
(to be Submitted every year)
- ☐ Authorised signatory list  
with specimen signatures
- ☐ Ultimate beneficiary owner
- ☐ Certified copy of BR
- ☐ Company PAN
- ☐ Form 32
- ☐ Authorised Directors's  
Address Proof
- ☐ IT Returns
- ☐ New Company-  
Network Certificate
- ☐ PAN of Individual  
Promoters
- ☐ PAN of Person Authorised  
to deal in Securities

☐ Client Master ☐ Welcome Letter

☐ DP Statement

☐ Transaction cum Holding Statement

- ☐ Identity Proof (all partners)
- ☐ Address Proof (all partners)
- ☐ Partnership Deed copy
- ☐ Signatory Letter
- ☐ PAN of Partners
- ☐ Photos of Partners
- ☐ Copy of Balance sheet for last 2 yrs
- ☐ Authorised signatory list with specimen signatures
- ☐ Certificate of Registration

- ☐ Copy of Balance sheet for last 2 yrs
- ☐ Certificate of Registration
- ☐ Trust Deed copy
- ☐ PAN of trustees
- ☐ List of trustees certified by managing trustees / CA
- ☐ Address Proof (all trustees)
- ☐ Photos of trustees

\*Please go through the Instruction/Checklist for filing KYC Form for further details.

## INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1.	Account Opening Form and KRA Form	A. KYC Form - Document captures the basic information about the constituent and an instruction/check list.	1 to 8
		B. Document captures the additional information about the constituent relevant to Trading/ Demat account and an instruction/check list.	
2.	Tariff sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges/Fee Structure	9 to 10
3.	Beneficial Ownership Determination Form	Document for determination of Natural person/s who ultimately own, control/influence a client and/or persons on whose behalf a transaction is being conducted.	11 to 12
4.	<div>▼ Declaration by HUF &amp; consent letter</div> <div>▼ Declaration by partnership Firm</div> <div>▼ Board Resolution (Trading / Demat)</div>	Declarations / Documents required from different persons for execution of various client registration documents.	13 to 15
5.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet/wireless technology based trading).	SEPARATE COPY FOR CLIENT
6.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
7.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
8.	Rights and Obligations for DP	Document stating the Rights & Obligations of Beneficial Owner and Depository Participant.	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
1.	Client Declaration - Open Interest Position	Declaration provided by Client to Angel for Open Interest Position	16
2.	Running Account authorization	Authorization to maintain a running account	16
3.	Electronic Contract Note (ECN) - Declaration	Documents for delivery of ECN	16
4.	Voluntary Terms & Conditions	Additional terms & conditions specific to Angel for the purpose of operational efficiency.	17 to 20
5.	Rights and Obligations - MTF	Rights and obligations relating to margin trading facility provided To client	21 to 22
6.	Power of Attorney for the purpose of settlement / Margin obligation	POA provided by BO to Angel for settlement / Margin purpose	23 to 26

Client Code: \_\_\_\_\_

**FATCA / CRS DECLARATION (NON – INDIVIDUALS)**

1. Name of Entity: \_\_\_\_\_
2. Country of Incorporation: ☐ India ☐ US Other \_\_\_\_\_
3. Nature of Business ☐ Manufacturing ☐ Financial Services ☐ Distribution / Retail  
☐ Consultancy ☐ IT ☐ Investments ☐ Others
4. Services Provided: ☐ Forex / Money Changer Services ☐ Gaming / Gambling / Lottery Services  
☐ Money Leading / Pawing ☐ None of the above
5. (a) Country of Tax Residence: ☐ India ☐ US Other \_\_\_\_\_
5. (b) Tax Identification No. (US TIN) or equipment (other): 1) \_\_\_\_\_ 2) \_\_\_\_\_
6. Whether “Specified US Person” ☐ Yes ☐ No

(Please full up EITHER section 7 OR section 8, as the case may be)

7. Please fill up this section if entity is Non US Financial Institution (FFI):

- a. Registered Deemed Complaint FFI ☐

(Reporting Model 1 FFI)

- b. Participating FFI ☐

Please provide GIIN (mandatory) \_\_\_\_\_

If GIIN is not available, please select:

- a. Owner Documented FFI with specified US owners ☐
- b. Deemed complaint FFI (Other than above mentioned categories) ☐
- c. Exempt Beneficial Owner ☐
- d. Non-Participating Foreign Financial Institution ☐
- e. Non-reporting FFI ☐

8. Please fill up this section if entity is Non Financial Entity:

- a. Active NFFE ☐
- b. Passive NFFE ☐
- c. Direct Reporting NFFE ☐

GIIN (mandatory if c is selected): \_\_\_\_\_

9. Please fill below if applicable:

- a. Our company is Listed Company listed on recognized stock exchange
- b. Our company is a subsidiary of the Company
- c. Our company is controlled by a Listed Company

Details of Listed Company (if 2nd or 3rd selected above):

- a. Name of Company: \_\_\_\_\_
- b. Stock Exchange on which listed: \_\_\_\_\_

Declaration

1. I/We hereby that the details furnished above are true and correct to the best of my/our knowledge and belief correct and complete. In case of any change in the above given status on a future date, I/we undertake to inform Angel Brooking the same within 30 days.
2. I/we agree that if we are a Specified U.S. Person or tax resident of a reportable foreign jurisdiction (other than U.S.) or an entity with US Persons / foregin tax residents UBO requiring under FATCA/CRS or any other laws, our account details, as required under Inter Governmental Agreement (IGA) /Multilateral Competent Authority Agreement (MCAA) signed by Indian Government, would be reported by Angel One to the relevant tax authority.
3. I/We hereby confirm that details as provided above can be shared by Angel One with the concerned Asset Management Companies (AMC's) or such other product providers, to whom FATCA/CRS norms are applicable, in whose schemes / products we may invest/transact in future through Angel One.

Name:\_\_\_\_\_ Designation:\_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

For more details about FATCA, please refer US IRS website on -  
<http://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA>. If you are sure about your entity's FATCA status, you are requested to contact your tax advisor.

Declaration for Ultimate Beneficial Ownership (UBO)

[Mandatory for all entities except listed company or subsidiary of / controlled by a listed company  
AND UBO holding is more than 10% (corporate) / 15% (entities other than corporate)]

To,  
Angel One

Name of Customer: \_\_\_\_\_

☐ We hereby declare that besides the persons mentioned in the below table there are no other natural person's who ultimately hold is more than 10% (corporate) / 15% (entities other than corporate) or more of shares directly or indirectly; or exercise control/ influence, whether directly or indirectly through voting rights/agreement/arrangement. (You can take Multiple copies of this page if details of more than 2 persons are to be mentioned)

Name		
Father's Name		
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="checkbox"/> Male <input type="checkbox"/> Female
Address with city, state postal code and country		
Birth Date		
Country of Birth		
Nationality		
US Person (Y/N)		
Country of Tax Residency		
TIN equivalent No.		
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
Share Holding (%)*		
PAN		
ID Proof document submitted	<input type="checkbox"/> PAN <input type="checkbox"/> Passport <input type="checkbox"/> Aadhaar <input type="checkbox"/> Others	<input type="checkbox"/> PAN <input type="checkbox"/> Passport <input type="checkbox"/> Aadhaar <input type="checkbox"/> Others
Relationship with Entity	<input type="checkbox"/> Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Promoter / Trustee / Partner	<input type="checkbox"/> Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Promoter / Trustee / Partner
Address Proof document submitted		
UBO Code (Please refer below point no. 3 UBO Code)		

OR

☐ We hereby declare that there are no natural person's who ultimately hold 25% or more of share directly or indirectly; or exercise control/influence, whether directly or indirectly through voting rights/agreement/arrangement. Hence, there are no Controlling Persons including U Foreign Citizens / Residents holding 25% or more shares.

(to be signed by company secretary / partners / trustees / members as applicable)

Notes:

\* Nature of Beneficial Owner.

1. a) Shareholding > 25% (In case where juridical person is company) & > 15% (In case Juridical person is Firm / Unincorporated) association / body of individuals / trust)
- b) Management Control. If (a) Indicate the extent of shareholding. For (b) mention the capacity in which engaged with the corporate. @ The said natural person may act alone or together, or through one or more juridical person Promoter and controls are terms as defined under Companies'Act and SEBI regulations.

3. UBO code for controlling person type.

UBO Code	Description
C01	CP of Legal person-ownership
C02	CP of Legal person- other means
C03	CP of Legal person-senior managing official
C04	CP of Legal arrangement-trust settlor
C05	CP of Legal arrangement-trust-trustee
C06	CP of Legal arrangement-trust protector
C07	CP of Legal arrangement-trust beneficiary
C08	CP of Legal arrangement-trust-other
C09	CP of Legal arrangement-trust-other settlor equivalent
C10	CP of Legal arrangement-trust-other trustee equivalent
C11	CP of Legal arrangement-trust-other protector equivalent
C12	CP of Legal arrangement-trust-other beneficiary equivalent
C13	CP of Legal arrangement-trust-other other equivalent
C14	Unknown

Definitions

The following definitions and content are based on relevant extracts taken from applicable laws and provided only for reference purpose and do not constitute tax advice. The applicable laws including these definitions are subject to change from time to time and local laws may define the meaning of certain terms differently. Clients should consider updated / local laws and seek appropriate external advice, where necessary.

- 1) Specified U.S. Person: The term“Specified U.S. Person”means a U.S. Person, Other than:
- i. A corporation the stock of which is regularly traded one or more established securities markets;
  - ii. Any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e) of the U.S. Internal Revenue Code, as corporation described in clause (I);
  - iii. The United States or any wholly owned agency or instrumentality thereof;
  - iv. Any States of the United States, any U.S. Territory, any political subdivision of any the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
  - v. Any organization exempt from taxation under section 501(a) U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
  - vi. Any bank as defined in section 581of the U.S. Internal Revenue Code;
  - vii. Any real-estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
  - viii. Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Codeor any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.80a-64)
  - ix. Any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
  - x. Any trust that is exempt from tax under section 664© of the U.S. Internal Revenue Coder that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
  - xi A dealer in securities, commodities or derivatives financial instruments (Including notional principal contacts, futures, forwards, and options) that is registered such as under the laws of the U.S. States fo any States;
  - xii. A broker as defined in section 6045© of the U.S. Internal Revenue Code; or
  - xiii. Any tax-exempt trust under a plan that is described in section 403(b) or section 457(b) of the U.S. Internal Revenue Code.
2. U.S. Persona The term“U.S. Person”means a U.S. citizen or resident individual, a partnership or corporation organized ih the United States or under the laws of the United States or any other States thereof, a trust if (i) A court within the United States would gave authority under applicable to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) One or more U.S. Persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is citizen or resident of the United States. This paragraph shall be interpreted in accordance with the U.S. Internal Revenue Code.

3. Financial Institution (FI): The term "Financial Institution" means a Custodial Institute, a Depository Institution, an Investment Entity, or a Specified company. [Note: AFFI (Foreign Financial Institution) trust would be Non U.S. FI]
4. Investment Entity:
  - A. An entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
    1. Trading money market instruments (Cheques, bills, certificate of the deposit, derivatives, etc.) foreign exchange interest rate, and index instruments, transferable securities; or commodities futures.
    2. Individual or collective portfolio management; or otherwise investing, administering, or managing funds, money or financial assets on behalf of other person.
  - B. An entity whose gross income is primarily attributable to investing, reinvesting, or trading and the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described in paragraph(a); or
  - C. An entity that functions or holds itself out as collective
5. GIIN: GIIN means a Global Intermediary Identification Number assigned to participating FFI, or a reporting model 1 FFI for purpose for identifying such entity to withholding agents. All GIINs will appear on the IRS FFI list.
6. Register deemed Complaint FFI: An FFI that registers with the IRS to declare its status. Includes certain local banks, non-reporting members of participating FFI groups, qualified collective investment vehicles, restricted funds, and FFIs that comply with FATCA requirements under an agreement between the U.S. and a foreign government including reporting model 1 FFI that complies with a Model 1 IGA.
7. Reporting Model 1 FFI—An FFI with respect to which a foreign government or agency thereof agrees to obtain and exchange information pursuant to a Model 1 IGA, other than an FFI that is treated as a nonparticipating FFI under the Model 1 IGA.
8. Participating FFI: A participating FFI is a FFI, including a reporting Model 2 FI, that has agreed to comply with the terms of an FFI agreement. The term participating FI also includes a Qualified Intermediary (QI) branch of a U.S. financial institution, unless such branch is a reporting Model 1 FFI
9. Deemed complaint FFI: An FI that is:
  1. A registered deemed complaint FFI
  2. A certified deemed complaint FFI
  3. An owned-document FFI
  4. A QI branch of a U.S. financial institution that is reporting Model 1 FFI
10. Exempt Beneficial Owner: The term exempt beneficial owner means a beneficial owner of a payment made to persons like Government Entities, Government of a U.S. territory, Central Banks, International Organizations, certain Retirement Funds, etc., or that is otherwise treated as an exempt beneficial owner pursuant to a Model 1 IGA or Model 2 IGA.
11. Nonparticipating FFI: An FFI other than a participating FFI, a deemed-complaint FFI, or an exempt beneficial owner.
12. Non-reporting IGA FFI: An FFI that is identified as a Nonparticipating financial institution pursuant to a Model 1 IGA or Model 2 IGA and that is not registered deemed-complaint FFI.
13. Passive NFFE: A "Passive NFFE" means any NFFE that is not (i) an Active NFFE, or (ii) a withholding foreign partnership or withholding foreign trust.
14. Active NFFE: An "Active NFFE" means any NFFE that meets at least one of the following criteria:
  - a. Less than 50 percent of the NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income.
  - b. The stock of the entity is regularly traded on an established securities market in the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market.
  - c. The entity is a Governmental entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of these entities; or



- d. Substantially all of the activities of the entity consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or business other than the business of a financial institution: Provided that an entity shall not qualify for this status if it functions as an investment fund, such as private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire of fund companies and then hold interests in those companies as capital assets for investment purpose; or The entity is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a financial institution, provided that the entity shall not qualify for this exception after the date that is twenty four months after the date of the initial organization of the entity; or
  - v. The entity was not a financial institution in the past five years, and is in the process of liquidating its assets or is recognizing with intent to continue or recommence operations in a business other than that of a financial institution; or
  - vi. The entity primarily engages in financing and hedging transactions with, or for, related entities which are not financial institutions, and does not provide financing or hedging services to any entity which is not a related entity, provided that the group of any such related entities is primarily engaged in a business other than that of a financial institution; or
  - vii. The entity fulfills all of the following requirements, namely:
    - a. It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purpose; or it is established and operated in India and it is professional organization, business league, chamber of commerce, labour organization, agriculture or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
    - b. It is exempt from income tax in India.
    - c. It has no shareholder or members who have a proprietary beneficial interest in its income or assets;
    - d. The applicable laws of the entity's country or territory of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and the applicable laws of the entity's country or territory of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets must be distributed to a Governmental entity or other non-profit organization, or escheat to the Government or the entity's country or territory of residence or any political sub-division thereof.
15. Direct Reporting NFFE: A new category of Passive NFFE- a Direct Reporting NFFE that would be treated as an Exempt Beneficial Owner. It will be required to elect to, and report directly to the IRS certain information about its direct or indirect substantial U.S. owner. The NFFE will also be required to register with the IRS to obtain its GIIN.
16. Controlling person means the natural person who exercises control over an entity and includes a beneficial owner as determined under sub-rule (3) of rule 9 of the Prevention Money-laundering (Maintenance of Records) Rules, 2005.
17. Owner-documented FFI: An FFI that:
- a. Is an FFI solely because it is an investment entity.
  - b. Is not owned by or in an expanded affiliated group with any FFI that is a depository institution, custodial institution, or specified insurance company;
  - c. Does not maintain a financial account for any nonparticipating FFI.
  - d. Provides the designated withholding agent with all of the required documentation and agrees to notify the withholding agent if there is a change in circumstances; and
  - e. The designated withholding agent agrees to report to the IRS (or to the relevant foreign government or agency thereof) all of the information with respect to any specified U.S. Persons;

Know Your Client (KYC)  
Annexure (For Non- Individuals Only)



CDSL VENTURES LIMITED  
...Exploring New Horizons



Please fill the form in ENGLISH and in BLOCK letters  
Fields marked \* are mandatory  
Fields marked ~ are pertaining to CKYC and mandatory only if processing CKYC also

Application Number:

Application Type\*: ☐ New KYC ☐ Modification KYC

1. Identity Details of Related Person (please refer guidelines overleaf)

PAN\*  
\_\_\_\_\_

Please enclose a duly attested copy of your PAN Card

Name\* (same as ID proof)  
\_\_\_\_\_

Maiden Name\* (if any)  
\_\_\_\_\_

Fathers/Spouse's Name\*  
\_\_\_\_\_

Date of Birth\*  
\_\_\_\_-\_\_\_\_-\_\_\_\_

Gender\*  
☐ Male ☐ Female ☐ Transgender

Nationality\*  
☐ Indian ☐ Other \_\_\_\_\_

Related Person Type\*  
☐ Director ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointed Official Proprietor  
☐ Beneficiary ☐ Authorized Signatory ☐ Beneficial Owner ☐ Power of Attorney Holder  
☐ Others \_\_\_\_\_ (please specify) DIN: \_\_\_\_\_ (mandatory if the related person is Director)

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)  
☐ A — Aadhaar Card XXXX XXXX \_\_\_\_-\_\_\_\_-\_\_\_\_  
☐ B — Passport Number \_\_\_\_\_ (Expiry Date) \_\_\_\_-\_\_\_\_-\_\_\_\_  
☐ C — Voter ID Card \_\_\_\_\_  
☐ D —Driving License \_\_\_\_\_ (Expiry Date) \_\_\_\_-\_\_\_\_-\_\_\_\_  
☐ E —NREGA Job Card \_\_\_\_\_  
☐ F — NPR \_\_\_\_\_  
☐ Z —Others \_\_\_\_\_ (any document notified by Central Government)  
Identification Number \_\_\_\_\_

Applicant Photo

2. Address Details\* (please refer guidelines overleaf)

A. Correspondence/ Local Address\*

Line 1\*  
\_\_\_\_\_

Line 2  
\_\_\_\_\_

Line3  
\_\_\_\_\_

City/Town/Village\* \_\_\_\_\_ District\* \_\_\_\_\_ Pin Code\* \_\_\_\_\_

State\* \_\_\_\_\_ Country\* \_\_\_\_\_

Address Type\* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Applicant e-SIGN

B. Permanent residence address of applicant, if different from above A / Overseas Address\* (Mandatory for NRI Applicant)

Line 1\*  
Line 2  
Line3

City/Town/Village\* District\* Pin Code\*

State\* Country\*

Address Type\*  
☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address\* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

☐ A — Aadhaar Card

XXXX XXXX \_\_\_\_

☐ B — Passport Number

(Expiry Date) \_\_\_\_

☐ C — Voter ID Card

(Expiry Date) \_\_\_\_

☐ D —Driving License

(Expiry Date) \_\_\_\_

☐ E —NREGA Job Card

☐ F — NPR Letter

☐ Z—Others

(any document notified by Central Government)

Identification Number

3. Contact Details

Email ID

Mobile No.

Tel (Off) Tel (Res)

4. Applicant Declaration

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

DATE: \_\_\_\_ (DD-MM-YYYY)

PLACE: \_\_\_\_\_

Applicant e-SIGN

Applicant Wet Signature

5. For Office Use Only

KYC carried out by\*

Intermediary Details\*

KYC Date  
Emp. Name  
Emp. Code  
Emp. Designation

☐ Self certified document copies received (OVD)

☐ True Copies of documents received (Attested)

Employee Signature and Stamp

Institution Name and Stamp

- A. IMPORTANT POINTS:
  - Self attested copy of PAN card is mandatory for all clients.
  - Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
  - If any proof of identity or address is in a foreign language, then translation into English is required.
  - Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
  - If correspondence & permanent address are different, then proofs for both have to be submitted.
  - Sole proprietor must make the application in his individual name & capacity.
  - For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCICard and overseas address proof is mandatory.
  - For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
  - In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
  - For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
  - Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial/military officers, senior executives of state owned corporations, important political party officials, etc.
- B. Proof of Identity(POI): List of documents admissible as Proof of Identity:
  - PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
  - Unique Identification Number (UID)(Aadhaar) / Passport / Voter ID card / Driving license.
  - Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.
- C. Proof of Address (POA): List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission).
  - Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
  - Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
  - Bank Account Statement/Passbook - Not more than 3 months old.
  - Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
  - Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co- perative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
  - Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
  - For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
  - The proof of address in the name of the spouse may be accepted.
- D. Exemptions/clarifications to PAN (\*Sufficient documentary evidence in support of such claims to be collected.)
  - In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
  - Investors residing in the state of Sikkim.
  - UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
  - SIP of Mutual Funds upto Rs 50,000/- p.a.
  - In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.
- E. List of people authorized to attest the documents:
  - Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
  - In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	.
Partnership firm	
Trust	
HUF	
Unincorporated association or a body of individuals	
Banks/Institutional Investor	
Foreign Institutional Investors (FII)	
Army/ Government Bodies	
Registered Society	

ANNEXURE

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant			PAN of the Applicant																
Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph												
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO													
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO													
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO													

ANNEXURE







Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant			PAN of the Applicant																
Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph												
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO													
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO													
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO													

TRADING & DEMAT ACCOUNT RELATED DETAILS									
TYPE OF ACCOUNT (Please tick whichever is applicable)									
Status					Sub - Status				
Body Corporate		Banks		Trust		Mutual Fund		OCB	
FII		CM		FI		Clearing House		Others (Specify)_____	
SEBI Registration No. (If Applicable)					SEBI Registration Date D D M M Y Y Y Y				
RBI Registration No. (If Applicable)					RBI Approval Date D D M M Y Y Y Y				
Nationality					Indian		Others (Specify)_____		
CLEARING MEMBERS DETAILS (To be filled by CMs only)									
Name of Stock Exchange									
Name of the CC / CH									
Trading ID					Clearing Member ID				
A. BANK ACCOUNT DETAILS - 1									
Bank Name & Branch Location:									
Account No.					<input type="checkbox"/> Savings		<input type="checkbox"/> Current		
MICR Code					IFSC Code				
B. BANK ACCOUNT DETAILS - 2									
Bank Name & Branch Location:									
Account No.					<input type="checkbox"/> Savings		<input type="checkbox"/> Current		
MICR Code					IFSC Code				
C. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)									
		DP 1 Details			DP 2 Details				
Depository		<input checked="" type="checkbox"/> CDSL			<input type="checkbox"/> CDSL		<input type="checkbox"/> NSDL		Require CMC copy
DP Name		Angel One Ltd							
Client Name									
DP ID		12033201, 12033202 & 12033203							
BO ID									
D. COMTRACK ACCOUNT DETAILS - Require CMC copy if other than Angel account.									
CP Name									
Client Name									
CP ID					CMSE ID				
Note: Provide a copy of either Demat Master or a recent holding statement issued by CP bearing name of the client.									
E. COMRIS ACCOUNT DETAILS									
CP Name									
Client Name									
CP ID					CMSE ID				
Note: Provide a copy of either Demat Master or a recent holding statement issued by CP bearing name of the client.									

F. TRADING PREFERENCES Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Please sign in the relevant boxes where you wish to trade.  
Please strike off the segment not chosen by you.

Exchanges	NSE, BSE & MSEI				MCX NCDEX, BSE & NSE
All Segments	Cash/ Mutal Fund	F & O	Currency	Debt	Commodity Derivatives
					

If you do not wish to trade in any of segments/ Mutual Fund, please mention here \_\_\_\_\_  
\_\_\_\_\_

G. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE

Contract Note/Holding & Transaction Statement including CAS/Other Documents*(For ECN in commodity segment, please refer pg. no. 18)	<input type="checkbox"/> Electronic <input type="checkbox"/>
Receive Delivery Instruction Slip	<input type="checkbox"/> No <input type="checkbox"/> Yes
Share Email ID with Registrar & Transfer Agent	<input type="checkbox"/> No <input type="checkbox"/> Yes
Receive Annual Report	<input type="checkbox"/> Electronic <input type="checkbox"/> <b>Physical</b> <input type="checkbox"/> Both
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation
Declaration for Mobile Number	<input type="checkbox"/> Karta <input type="checkbox"/> Partner <input type="checkbox"/> Director <input type="checkbox"/> Trustee <input type="checkbox"/> Authorised Signatory
Declaration for Email ID	<input type="checkbox"/> Karta <input type="checkbox"/> Partner <input type="checkbox"/> Director <input type="checkbox"/> Trustee <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Do not have
Running Account Settlement	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly
Whether you wish to avail of the facility of internet trading / wireless technology ( please specify)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Margin Trading Facility ( Refer Rights & Obligation document)	<input type="checkbox"/> Yes <input type="checkbox"/> No

- \_\_\_\_\_  
Note:
- Dividend / Interest will be credited to bank account via ECS.
  - In case client doesn 't opt for DIS booklet, it would be issued on request at any later date.
  - BO can view his ISIN balances, transactions & value portfolio online. To register for Easi please visit website [www.cdsindia.com](http://www.cdsindia.com)
  - \*Other documents includes SEBI prescribed standard documents i.e. Rights & Obligation documents for trading and depository account, Risk Disclosure Document and Guidance Note or any other communication /document disseminated by Angel One.
  - Angel may carry out proprietary trades in addition to cliental trades.



H. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS		
If Yes, please specify:		
Name of Stock Broker	Name of AP	
Name of Exchange	Client Code (as given by other broker)	
Details of disputes/dues pending from/to such stock broker:		
Whether you are a Member / AP of any Exchange		<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, provide SEBI / EXCHANGES REGISTRATION NO:		Ph.   Website:
I. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002		
Experience	Number of years of Investment / Trading Experience <input type="text"/> <input type="text"/>	
Gross Annual Income	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs <input type="checkbox"/> >1Crore	
	OR   Net Worth in `   (*Net worth should not be older than 1 year) as on date   DD / MM / YYYY	
	Primary Source of Income (Please Specify) _____	
	Secondary Source of Income <input type="checkbox"/> Royalties <input type="checkbox"/> Bank Interest <input type="checkbox"/> Rental <input type="checkbox"/> Dividend <input type="checkbox"/> Others (Specify) _____	
Nature of Business	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading <input type="checkbox"/> Consultancy <input type="checkbox"/> Others_____	
Client Category Commercial participant / Noncommercial participant	<input type="checkbox"/> Value chain participant <input type="checkbox"/> Exporter <input type="checkbox"/> Importer <input type="checkbox"/> Hedger <input type="checkbox"/> Financial participant <input type="checkbox"/> Trader <input type="checkbox"/> Arbitrager <input type="checkbox"/> Other	
Is the Client Politically Exposed Person (PEP) or Related to a PEP <input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not a PEP / RPEP  Whether any of your Authorised Signatories/ Promoters / Partners / Karta / Trustees & whole time Directors are any of the following, or are directly or indirectly related to any of the following: <input type="checkbox"/> Yes <input type="checkbox"/> No  <input type="checkbox"/> Civil Servant <input type="checkbox"/> Politician <input type="checkbox"/> Current or former head of state  <input type="checkbox"/> Bureaucrat (Tax authorities, Foreign Services, IAS etc.)  <input type="checkbox"/> Current or former MP, MLA, MLC <input type="checkbox"/> Connected to Media  <input type="checkbox"/> Connected to any promoter group of company listed on any stock exchange Name of the Company _____		
J. PAST ACTIONS		
Details of any action / proceedings initiated / pending / taken by SEBI / Exchanges / any other authority against the applicant / constituent or its Partners / promoters / whole time directors /authorized persons in charge of dealing in securities / commodities during the last 3 years:		
<input type="checkbox"/> No	If yes, please specify	
K. GST REGISTRATION DETAILS		
Registration No	Validity Date	Name of the State
GSTIN No:	DD / MM / YYYY	

Note: Angel may carry out proprietary trades in addition to cliental trades.

L. INTRODUCER DETAILS

Status of Introducer

☐ AP

☐ Employee

☐ Existing Client

☐ Others (Specify):

Introducer Name:

Emp/Client Code:

Address:

Mobile No:

Signature of the Introducer

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained & understood the contents of the document on policy & procedures of the Stock Broker & the tariff sheet applicable for trading as well as DEMAT account.

3. I/We further confirm having received, read & understood the contents of the 'Rights & Obligations' of members, authorised person & clients, and 'Risk Disclosure Document, for capital market & derivatives segments (equity, commodity)' and rights & obligations related to margin trading facility provided to client. Guidance note, Do's & Don't for trading on the exchange(s) for investors / demat account Do's & Don't / Guidance note, Do's & Don't for clients / Rights & obligations of beneficial owner & depository participant & details of terms & conditions for the investor / client for using Mutual fund transaction facility. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Stock Broker's designated website i.e www.angelone.in

4. The Rules & Regulations of the Depository & Depository Participants pertaining to an account which are in force now have been read by me / us and I/We have understood the same and I/We agree to abide by and to be bound by the rules as are in force from time to time for such accounts.

Signature of the Authorised Signatory with company seal

Date: DD / MM / YYYY

CL 7/15

Place: \_\_\_\_\_

FOR OFFICE USE ONLY

UCC Code allotted to the Client

BO ID Allotted to the client

	Documents verified with Originals	Client Interviewed By	In-Person Interview done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

For Angel One Ltd

Signature of the Authorised Signatory

Date: \_\_\_\_\_

Seal/Stamp of the stock broker

Place: \_\_\_\_\_

[illegible]

Name of Authorized person	Name of Authorized person	Name of Authorized person	Name of Authorized person
Signature(s) along with seal	Signature(s) along with seal	Signature(s) along with seal	Signature(s) along with seal

EQUITY BROKERAGE DETAILS								
Product	Delivery	Equity Intraday/ Futures (Each Leg)	Min Brokerage (Rs. Per Share)	Options (Per Lot) Each Leg			Currency Futures (Each Leg)	DP AMC Waivers
				Nifty	Others	Currency		
ANGEL ELITE		<input type="checkbox"/>	MARGIN > = 1,00,000		MARGIN COLLECTED:			
Online Trade	0.128%	0.0128%	0.0128	24/-	48/-	3.2/-	0.008%	For 1st 3 Years
Offline Trade	0.160%	0.0160%	0.0160	30/-	60/-	4/-	0.010%	
ANGEL PREMIER		<input type="checkbox"/>	MARGIN - 50,000 to 99,999		MARGIN COLLECTED:			
Online Trade	0.176%	0.0176%	0.0176	32/-	64/-	4.8/-	0.0088%	For 1st & 2nd Year
Offline Trade	0.220%	0.0220%	0.0220	40/-	80/-	6/-	0.011%	
ANGEL PREFERRED		<input type="checkbox"/>	MARGIN - 25,000 to 49,999		MARGIN COLLECTED:			
Online Trade	0.224%	0.0224%	0.0224	36/-	72/-	5.6/-	0.0112%	For 1st Year
Offline Trade	0.280%	0.0280%	0.0280	45/-	90/-	7/-	0.014%	
ANGEL CLASSIC		<input type="checkbox"/>	MARGIN - 10,000 to 24,999		MARGIN COLLECTED:			
Online Trade	0.32%	0.032%	0.032	40/-	80/-	6.4/-	0.016%	NA
Offline Trade	0.40%	0.040%	0.040	50/-	100/-	8/-	0.020%	
COMMODITY BROKERAGE DETAILS								
Product		Delivery			Intraday (Each Leg)			
ANGEL ELITE		<input type="checkbox"/>	MARGIN > = 1,00,000		MARGIN COLLECTED:			
Online Trade		NA			0.0088%		250	
Offline Trade		0.30%			0.011%			
Minimum brokerage of 0.011 paisa per script for intraday								
ANGEL PREMIER		<input type="checkbox"/>	MARGIN - 50,000 to 99,999		MARGIN COLLECTED:			
Online Trade		NA			0.012%		250	
Offline Trade		0.30%			0.015%			
Minimum brokerage of 0.015 paisa per script for intraday								
ANGEL PREFERRED		<input type="checkbox"/>	MARGIN - 25,000 to 49,999		MARGIN COLLECTED:			
Online Trade		NA			0.0152%		250	
Offline Trade		0.30%			0.019%			
Minimum brokerage of 0.019 paisa per script for intraday								
ANGEL CLASSIC		<input type="checkbox"/>	MARGIN - 10,000 to 24,999		MARGIN COLLECTED:			OPTIONS
Online Trade		NA			0.0184%			250
Offline Trade		0.30%			0.023%			
Minimum brokerage of 0.023 paisa per script for intraday								
<div>Signature of the Authorised Signatory with company seal</div> <div><div>CL</div><div>8/15</div></div>								
P. T. O.								

CHARGES FOR DEPOSITORY SERVICES			
Charges Type	<input type="checkbox"/> Annual Maintenance Charge (AMC)	<input type="checkbox"/> Life Time AMC	<input type="checkbox"/> Free Life Time AMC
DP AMC Charges	Rs.20/- per traded month + GST	Onetime payment of Rs. 2500 + GST	Margin money of Rs. 3,00,000/- and above  * free AMC activation subject to credit
Debit transaction/Pledge creation & Pledge closure/ Debit transaction of client shares from Angel beneficiary and Angel collateral account.	Rs. 20 + GST per ISIN		
Dematerialization	Rs. 50 + GST per certificate and Rs.30/- per DRF for Postage Charges Plus Rs.30/- per rejection		
Rematerialization	Actual CDSL Charges + Rs. 50/- per RRF request for postage charges		
Additional DIS Request	Rs. 50.00 per booklet		
Additional Statement	Rs. 50.00 per request		

- Note:
1. Brokerage levied to your trading account shall be the higher of brokerage value as per the existing rates or Rs. 30/- per settlement / segment, subject to the maximum rates prescribed by the regulator(s) from time to time.
  2. Inter-Settlement charge towards debit transactions of client shares from Angel beneficiary & Angel collateral account, Rs. 20/- per ISIN would be applicable.
  3. Stamp duty, GST, Education cess & other statutory levies (if any) will be charged as applicable from time to time.
  4. Brokerage levied to your trading account is subject to the maximum rates prescribed by the regulator(s) from time to time.
  5. Delivery brokerage would be levied to all customers who has either opted for delivery or by default delivery allocated. It would be levied as per the rates specified in above table. In the absence of any rate default brokerage @ 0.30% would be levied.
  6. For Electronic Delivery through NCDEX client needs to open separate account with COMTRACK participant. Separate charges with applicable taxes if any will be debited in client's ledger account.
  7. In case of intra day transaction in Commodity contract first leg rates as above shall be charged on the buy value or sell value depending upon whichever is higher and second leg rates shall be charged vice versa.
  8. Commission of Clearing & Forwarding Agent/Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.
  9. Angel One Ltd. reserves the right to revise the tariff structure from time to time at its sole discretion, under advance intimation to clients either by way of ordinary post or by an email or by SMS or by notification on the back office interface.
  10. Any amounts which are overdue from the Client in any trading segments shall be liable to interest at the rate of 1.5% per month or part thereof or such other rate as may be determined and communicated by Angel.

Signature of the Authorised Signatory with company seal

CL  
9/15

BENEFICIAL OWNERSHIP DETERMINATION FORM

SEBI vide circular no. CIR/MIRSD/2/2013 dated January 24, 2013 and CIR/ISD/AML/3/2010 dated December 31, 2010 has mandated all registered intermediaries to obtain, as part of their Client Due Diligence policy, sufficient information from their clients in order to identify and verify the identity of persons who are beneficial owner. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

The following approach shall be considered while determining the Beneficial Ownership:

- A. For clients other than individuals or trusts:  
Where the client is a person other than an individual or trust, viz., company, partnership or unincorporated association/body of individuals, the intermediary shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the following information:
- a. The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Explanation: Controlling ownership interest means ownership of/entitlement to: i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company; ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or iii. More than 15% of the property or capital or profits of the

- juridical person, where the juridical person is an unincorporated association or body of individuals.
- b. In cases where there exists doubt under clause A (a) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means.

Explanation: Control through other means can be exercised through voting rights, agreement, arrangements or in any other manner.

c. Where no natural person is identified under clauses A (a) or A (b) above, the identity of the relevant natural person who holds the position of senior managing official.

- B. For client which is a trust:  
Where the client is a trust, the intermediary shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

- C. Exemption in case of listed companies:  
Where the client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.

Accordingly the following information is required to be filled:  
(Use additional sheets if required).

1. CLIENT DETAILS

Client Name   F  I  R  S  T      M  I  D  D  L  E      L  A  S  T

Client Account No.

Name of the MD/CEO of Company

2. BENEFICIAL OWNER'S PERSONAL INFORMATION

Beneficial Owner Name   F  I  R  S  T      M  I  D  D  L  E      L  A  S  T

Gender ☐ Male ☐ Female Marital status ☐ Single ☐ Married Date of birth

Nationality           PAN

% of shares or capital or profits of company/partnership firm/unincorporated association or body of individual

Address

City/Town           Pin Code

State           Country

Tel(Off)   S  T  D   T  E  L   N  O  Tel(Res)   S  T  D   T  E  L   N  O

Mobile No.           Fax   S  T  D   F  A  X   N  O

Email id

Recent  
Passport Size  
Photograph  
Only

Sign across

Date DDMMYYYY

Date

Date DDMMYYYY

## EOF

To,  
 Angel One Ltd.

With regard to Beneficiary account no. (BO ID)\_\_\_\_\_ And Trading Account\_\_\_\_\_ maintained in the name & style "\_\_\_\_\_" with DP /Trading (Angel One Ltd.)

We the following family members, being the co-parceners in the HUF account M/s \_\_\_\_\_ do hereby give our consent that the said Karta, viz\_\_\_\_\_ would operate above mentioned BO ID /Trading account as far as shares transactions of the HUF account is concerned.

We further declare and authorize you to recognize the beneficiary account No. \_\_\_\_\_ with depository \_\_\_\_\_ opened in the name of the undersigned who is the Karta of the HUF for the purpose of completing the share transfer obligations pursuant to the trading operations. I agree and understand that this is to facilitate the operation of the above trading account. The transfer made by you to the beneficiary account shall be complete discharge of obligations by you in respect of trades executed in the above trading account.

Details of our HUF and all its co-parceners are stated as mentioned below:

Sr.No	Name of Family Member	Date of Birth (DD MM YYYY)	Gender	Relationship with Karta	Signature

\* In case of Minor- Karta will sign the declaration

I, hereby state that details mentioned above are true and any change in them would be intimated to you in writing.

Title of HUF/ Karta \_\_\_\_\_

Signature of Karta

(HUF Rubber stamp)





Date:\_\_\_\_\_

To,  
Angel One Ltd.  
601, 6th Floor, Ackruti Star,  
Central Road, MIDC, Andheri East,  
Mumbai - 400093.

Dear Sir,

This is with reference to the trading account opened with you in the name of \_\_\_\_\_a partnership firm and bearing the code \_\_\_\_\_. We the undersigned partners of the above mentioned firm hereby declare and authorize you to recognize the beneficiary account No. \_\_\_\_\_ with depository \_\_\_\_\_,which belongs to one of our partner for the purpose of completing the share transfer obligations pursuant to the trading participant in the name of partnership firm as per regulations and that transfers made by you to the beneficiary account as complete discharge of obligations by you in respect of trades executed in the above trading account firm.

We further confirm that the authorised person can jointly / severally issue instruction to you as our broker for securities trades, fund, etc. and to do all such acts, deals and things as may be necessary for the purpose of operating this account.


Signature (Please sign with stamp of the partnership firm)

We, (Please write name of the partners)


- 1.\_\_\_\_\_4.\_\_\_\_\_
- 2.\_\_\_\_\_5.\_\_\_\_\_
- 3.\_\_\_\_\_6.\_\_\_\_\_

Partners of the firm confirm that any securities due to the Firm's trading account with you, if transferred to the above mentioned Demat account, will constitute good delivery of the obligation. We further state that Angel will not be responsible, if the shares are transferred to the abovementioned a/c.


If there is any change in the information given above, same shall be informed to Angel in writing. If any such information not communicate to Angel, that Angel will not be liable for losses suffered by the firm or any of the individual partners.

Signature \_\_\_\_\_


1. Name

Signature \_\_\_\_\_


2. Name

Signature \_\_\_\_\_


3. Name

Signature \_\_\_\_\_


4. Name

Signature \_\_\_\_\_


5. Name

Signature \_\_\_\_\_


6. Name

Signature \_\_\_\_\_

7. Name

Signature \_\_\_\_\_

8. Name

Signature \_\_\_\_\_

9. Name

BOARD RESOLUTION (Trading / Demat)  
 (On the Company letterhead)

CERTIFIED TRUE COPY OF RESOLUTION PASSED AT THE MEETING OF THE BOARD OF DIRECTORS OF \_\_\_\_\_ HELD AT THE REGISTERED OFFICE OF THE COMPANY  
 AT \_\_\_\_\_ ON \_\_\_\_\_

“RESOLVED THAT subject to the provisions of memorandum and article of association, the Company do hereby open a demat and trading account for dealing in securities in cash segment and/or derivative Segment of BSE Ltd and / or NSEIL and / or Future & Option segment of MCX and /or NCDEX with ANGLE ONE LTD. in the name and style of

Name of the Company

RESOLVED FURTHER THAT the company do hereby consent to avail margin trading facility on the BSE Ltd and / or NSEIL in accordance with the terms and conditions of Margin Trading Facility prescribed by Angel One Ltd, rights and obligations issued by exchanges, provisions of circular issued by SEBI / exchanges etc and their amendments thereof.

RESOLVED FURTHER THAT the company do hereby agree to receive information relating to confirmation of orders/trades, margin calls, decision to liquidate the position / security etc by email / SMS on their registered email Id and mobile number.

RESOLVED FURTHER THAT Mr. \_\_\_\_\_, Mr. \_\_\_\_\_, Mr. \_\_\_\_\_ Directors and Mr. \_\_\_\_\_ Mr. \_\_\_\_\_ the Authorized Signatories of the Company be and is hereby jointly and severally authorized to negotiate and finalize the terms and conditions for opening of account and sign and execute necessary forms as might be required for the purpose of opening the trading and / or Beneficiary account /s as aforesaid and are further authorized to operate the said account for and on behalf of the Company.

RESOLVED FURTHER THAT the company do hereby execute in favor of Angel One Ltd., a Power of Attorney to enable Angel One Ltd. to meet settlement / margin obligation and authorize Mr. \_\_\_\_\_ Mr. \_\_\_\_\_ Mr. \_\_\_\_\_ are Director / Authorized Signatories of the company to execute, notarize and deliver to Angel One Ltd., the said Power of Attorney and to do all other things as may be necessary in this connection.

FURTHER RESOLVED THAT a certified copy of the said resolution be communicated to ANGEL ONE LTD. together with the specimen

Place:

CERTIFIED TRUE COPY

Date:

For \_\_\_\_\_ Ltd

Signatory

SPECIMEN SIGNATURES

NAME	SIGNATURE
1. Mr. _____	_____
2. Mr. _____	_____
3. Mr. _____	_____

PLEASE TICK WHICHEVER IS APPLICABLE

☐ A. CLIENT DECLARATION - OPEN INTEREST POSITION

I/We, the undersigned, have taken cognizance of circulars issued by SEBI / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same. I/ We hereby declare and undertake that I/ we will not exceed the position limits prescribed from time to time by Commodity Exchanges or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time.

I/We undertake to inform you and keep you informed if I / any of our partners / directors I karta I trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such

person is a partner / director I karta I trustee, takes or holds any position in any commodity forward contract I commodity derivative on Exchanges through or through any other member (s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time.

I / We confirm that you have agreed to enter order in commodity forward contracts I commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking.

I/We further undertake to bear any liable/penalty/charges levied by Commodity Exchanges/SEBI.

☐ B. CONSENT TO INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

Retention statement –Clause -- I / We request you to maintain my/our accounts for funds on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free funds in the account. You may settle the accounts at Monthly/Quarterly (as opted in the account opening form) or at such other intervals as SEBI/ Exchanges may specify from time to time. I / We further authorize you to retain funds as may be permitted by Exchanges/SEBI from time to time

or towards other unbilled services and/or charges applicable on my account, while settling the accounts.

I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.

☐ C. CONSENT FOR ELECTRONIC CONTRACT NOTE (ECN) - DECLARATION (VOLUNTARY)

PAN \_\_\_\_\_, Registered with you as a client of Multi Commodity Exchange of India Ltd (MCX), and/or National Commodity & Derivative Exchange Ltd (NCDEX), undertake as follows:

I, \_\_\_\_\_, Client Code \_\_\_\_\_

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all trades carried out/ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is \_\_\_\_\_ (the email id must be written in own handwriting). This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

Verification of the client signature done by,

Authorised Signatory of Member

Designation:

Date: DD / MM / YYYY

Signature of the Authorised Signatory with company seal

Date: DD / MM / YYYY

Place:

Note: In case of any correction in the form – Sign next to the correction done & Sign has to match the original signature

Ver: 2.2

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## Most Important Terms and Conditions (For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
  
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
  
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
  
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
  
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
  
6. You will get a contract note from the stock broker within 24 hours of the trade.
  
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
  
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
  
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
  
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

FH

(First/Sole Holder Signature)

SH

(Second Holder Signature)

TH

(Third Holder Signature)

Place: \_\_\_\_\_

Date: DD / MM / YYYY

VOLUNTARY TERMS AND CONDITIONS (EQUITY & COMMODITY)

Whereas the client intends to open securities / commodities trading accounts with Angel One Ltd., (hereinafter referred as Angel) for the purpose of trading in Capital Market Segment, Futures & Options and Currency Derivative Segments and Commodities Futures & Options of the National Stock Exchange of India Ltd., the Bombay Stock Exchange Ltd., the Metropolitan Stock Exchange of India Limited, Multi Commodity Exchange, National Commodity & Derivatives Exchange Limited and Mutual Fund transactions Facilities offered by Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Angel and also the additional services that may be made available by Angel from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

1. Authorization to debit additional charges with regard to Trading and Demat Account: Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Angel may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account / Counter Demat account for any service rendered by Angel and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with AngelOne.
2. Lien: All securities, commodities funds and/or properties (Any assets available with Angel) of the Client as may be permitted by the Exchange(s) from time to time to be placed with Angel shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Angel. Angel shall be entitled to withhold such securities, commodities, funds and/or property of the Client as security towards any such un-discharged liabilities or obligation of the Client and to sell and/or appropriate to itself all such securities, commodities, funds or properties at its sole discretion & at any point of time, without notice to client.
3. Authorization for delivering / pledging shares: The client understands and agrees that Angel may deliver to the Exchange any securities held by it on behalf of the client to discharge settlement obligations in respect of securities sold by the client or pledge the same with the clearing house of the recognized stock exchange in any segment where the Client is registered for trading for the purpose of providing margin for the trading positions contracted or to be contracted by the Client or with any scheduled commercial bank, Non-Banking Financial Institution or other financial institution for raising funds to the extent account of the client carries debit balance but without any obligation on its part to so raise funds by pledging the securities and without prejudice to the right of Angel to enforce, at its option, the collateral security in the securities to recover the debit balance at any time.
4. Authorization for Inter segment fund balance transfer and stock transfers: The client hereby authorizes Angel to transfer its debit/credit balances in the ledger account arising during the course of trades in any segment including commodities segment to its ledger account in any other segment including commodities segment or to transfer any stock purchased/lying in its account in any segment including commodities segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Angel.

5. Disclaimer: The Client understands and agrees that neither Angel nor any other party disseminating any market data, message or information through the Website of Angel or in any other media shall be liable for: (a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or (b) Any loss or damage arising from or occasioned by (i) Any such inaccuracy, error, delay or omission, (ii) Nonperformance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Angel or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Angel or any disseminating party.
6. Manner/Mode of placing orders/instructions & Nonexecution/ delay/cancellation of Orders: The Client may communicate orders and other instructions to Angel or the Authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. The client hereby agrees that Angel or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading beyond the control of Angel or the Exchange.
7. Client not to act on representations of agents, employees: Client is aware that Angel has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Angel.
8. Recording of Conversation: The client is aware and agrees that Angel may tape record the conversation between the client/client's representative and Angel, whether over the telephone or in person, as per applicable laws, rules and regulations of SEBI / Exchanges. Angel may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.
9. Confidentiality of Client Details: Angel may disclose the client information to any person /entity as required under the law or to any broker's Association or organisations in case of dispute in order to take informed decision. The Client hereby agrees and give its consents for the disclosure by Angel to any person or entity including but not limited to any independent third parties or any entities of Angel Group, whether within or outside India, or credit bureau of any information and data relating to Client or relating to Client's trading account with Angel for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.  
The client agrees that Angel may appoint agents, credit bureau for carrying out the acts mentioned in or in relation to rendering its services and hereby consent to share his account related information, reports issued by such credit bureau to any such parties for various Regulatory and other purposes.
10. Disclosure as to Proprietary Trades by Angel: Angel may carry out proprietary trades in addition to trades on behalf of its Clients.

11. Severance: In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.

12. No Waiver: No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

13. Notices: All notices or communications issued by Angel shall be served on the Client in any one or more or all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:

13.1 (a) By ordinary post or (b) By registered post or (c) By express delivery post or (d) by SMS on registered mobile or by telephonic call or (e) By affixing it on the door at the last known business or residential address or (f) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (g) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (h) By publishing it on the website of Angel wherein secured log-id and password to Client is provided or (i) By a notice posted on the notice board of the Exchange if no address be known or (j) By electronic mail or fax or (k) By hand delivery or By Courier or any other approved mode as may be allowed for communication.

13.2 Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Angel and the Client may be made orally.

14. Electronic Contract Note (ECN):

14.1. Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Angel in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.

14.2. The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Angel either in writing or via E-mail within reasonable time of the receipt of the same. Angel shall also publish the Contract Note on the Web site [www.angelone.in](http://www.angelone.in) or on any other designated location specified by Angel from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Angel may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advice Angel of such a difficulty shall amount to valid delivery and viewing of the document by the Client.

15. Electronic Transmission of other Documents: The Client who have opted for ECN agrees that Angel may transmit to the Client any statements, documents or intimation including, but not limited to,

Margin Statement, Statements of Funds and Securities, margin and maintenance calls & other notices /communications document mandated by SEBI/Stock Exchange/Depository in electronic mode either at the e-mail ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, Angel shall be deemed to have fulfilled his obligation to deliver to the Client such documents. Discrepancies if any in documents should be brought to the notice of Angel within reasonable time from issuance failing which the documents shall be deemed to be true and correct record of transactions stated therein.

16. Electronic Payment Gateway for Net Banking Services: Angel may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Angel. Client understands that Angel is only providing access to the electronic fund transfer facility provided by the banker of the Client through Angel's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising thereout. Client undertakes not to make Angel a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Angel's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

17. Internet / Wireless Technology based Trading facility:

17.1. Angel offers Internet and mobile Trading facility for transaction in securities on the concerned Exchanges including facilities for online application of IPO / FPO / NFO / Bond issues or any other issues of securities or services to apply/purchase/redeem/sale/buyback or otherwise deal in the units of Mutual Funds (hereinafter referred to as "the Internet/wireless Trading system") through Exchange approved software. The Client can route its orders to Angel over the internet/mobile/laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Angel by complying with the formalities prescribed therefore.

17.2. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Angel may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Angel.

17.3. The client understands and agrees that Angel has different product of the Internet Trading /Wireless Trading softwares which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of



the client, Angel shall have the exclusive right and liberty to change the product version allotted to the client and allot a different product version of the Internet Trading/Wireless Trading facility.

17.4. Orders of Client subject to review by Angel: The Client agrees that the Angel may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order."

18. Extra Ordinary Events and termination/suspension of trading facility: Angel will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication net-work or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Angel's control resulting in nonexecution, partial or incomplete execution of orders and the resulting financial loss, if any Angel may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

19. Amendments to the terms and conditions: Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days' notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days of receiving the notification, the same shall be binding on the client. The terms and conditions and amendments made by Angel from time to time is available on companies' website [www.angelone.in](http://www.angelone.in)

20. Mutual Fund Service System Facility / BSE Star MF: Client is registered with Angel One and has executed Know Your Form and certain other documents for the purpose of trading in securities market on the recognized Stock Exchange (herein after referred as "Exchange"). In case client opts for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the SEBI recognized Stock Exchanges (Mutual Fund Transaction Facilities). Know Your Client details as submitted by the client for the stock broking shall be considered for the purpose of Mutual fund transaction facilities and abide by the terms and conditions as mentioned in the circulars as may be specified by the Exchanges from time to time in this regard. Client shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). Client shall read & understand the contents of the Scheme Information Document and Key Information Memorandum, addendum issued regarding each Mutual Fund Schemes with respect to which client choose to subscribe / redeem. Client further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. Client confirm to have read & understood the terms & conditions for using Mutual Fund transaction facility as stated in KYC handout (customer copy). Client is aware that he/she can transact directly with the AMC without recourse to Distributor's name at any time under the folio(s) tagged with the Distributor's name /broker code. The Client agrees and authorizes Angel as distributor of mutual funds to communicate on his/her behalf with the AMCs for financial and /or non-financial transactions including for receiving investment details from the AMC.

21. Parties agree that all claims, differences or disputes between them, arising out of or in relation to this mandatory and voluntary client / registration document, any dealings and transactions

made subject to the Bye laws, Rules and Regulations of the Exchanges shall be submitted to arbitration for resolution in accordance with the provisions of the Arbitration and Conciliation Act, 1996 and the Byelaws and Regulations of the concerned Exchange. Provided, however, that recourse shall not be had to the arbitration, the grievance redressal mechanisms or to the investor protection schemes of the of the Exchanges or SEBI where the claims, differences or disputes exclusively arise out of or relate to any contracts entered into; transactions carried out; schemes, leagues or competition joined; other arrangements or understandings reached or relations established by the client with a group concern or associate of the Stock Broker or any third parties and to which the Byelaws, Rules and Regulations of the Exchange are not attracted and the Client understands and agrees that any application for invoking the arbitration/grievance redressal mechanisms or investor protection schemes of the Exchange in relation to any such dispute, claims or differences shall be liable to be dismissed.

22. Setting up of Exposure Limits:

22.1. Angel may sanction trading limits to the Client based on the margin lying to the credit of the Client in the form of found / securities / bank guarantees / fixed deposit receipts. Angel at its sole direction may refused to accept securities as collateral / margin. Angel shall from time to time publish a list of securities which would be acceptable as collateral / margin. In setting exposure limits for the Client, Angel shall be entitled to consider such factors as it may deem fit, including without limitation, the client's risk profile, risk appetite, loss bearing capacity, payment history, market volatility, risk management policy of Angel and such other purpose. Angel reserves liberty to vary the trading / exposure limits of the Client depending upon its risk assessment from time to time having regard to the changes in any of the factors or market conditions bearing on the risk profile of the Client.

22.2 Neither Angel, nor any affiliate of Angel nor their respective directors, officers, employees, agent shall any circumstances be liable for any direct or indirect loss, cost, liability, expense or damage (including without limitation all legal fees & expenses) arising from any variation or reduction of exposure or turnover limits by Angel.

23. Client to have a Mobile connection: Client agrees to have a mobile connection as a pre-condition to opening & maintaining the a/c with Angel & undertakes to notify Angel promptly whenever he/she/it obtains a new mobile connection in replacement of a mobile previously notified to Angel.

24. Aggregation of open market positions of the Client by the exchange: Client agrees that if the Exchange directs / advise Angel to reduce the exposure of the Client in any outstanding open interest in any contract, because the market exposure of the client in the contract, individually, or taken together with that of related parties, exceeds the maximum allowable open position prescribed by the Exchange for an individual client or for all clients of Angel in the contract, Angel May square off the open market positions of the client in the contract as directed by the Exchange. client also agrees that, though Angel may on a best effort basis inform the client of the direction given by the Exchange, it is under no obligation to inform the client and/or obtain client's consent prior to squaring of the positions under this clause and all losses, if any, arising from such squaring off shall be to the account of the client.

25. Payment of Full Contract Value: Client agrees to pay Angel for all open buy positions, excepting both side option contract positions, full contract value less the free surplus fund of the client, if any, already with Angel, one day prior to the commencement of the tender period for the respective contract, and If that day is a bank holiday, on the day immediately preceding that day which is bank working day. If the Client makes a default in making payment as above, Angel at its discretion may square off all or part of the open positions of the Client and all and any losses arising thereout shall be to the account of the client.

26. Execute / Self / Wash / Match trades: Orders of buy and sell placed at such rates and which such time intervals / frequencies, and particularly in contracts considered illiquid, may be rejected or cancelled by Angel without prejudice to its other rights to impose penalty on the client and/or deregister the client. Angel may also report such instances to the Exchange or the Regulator for appropriate action at their end.

27. Use of services of Direct Referral Agent/Referral Partner /Authorised Person :

I/We hereby authorize Angel One Limited to disclose details of my/our account as mentioned in the account opening form,

supporting documents submitted therewith and/or any other information pertaining to my/our account including the trades/transactions carried out through my/our account to the Direct Referral Agent/Referral Partner/Authorised Person or such agents by whatever name they are called by affiliated to Angel One Limited.

For the purpose of the aforesaid Clause, Direct Referral Agent /Authorised Person shall mean any Company/Person and/or its employees appointed by Angel One Limited in this regard;

In case the Direct Referral Agent /Referral Partner is an Authorised Person registered with the Exchange, I/We hereby authorize Angel One Limited to grant access and/or permit the Authorised Person all the rights as governed by the existing regulatory provisions including any changes issued from time to time.

In case the Referral Partner/Authorised person is Mutual Funds certified, hereinafter referred to as Independent Financial Provider for Mutual Fund ("IFPMF"), I/We hereby authorize Angel One Limited to grant access and/or permit the IFPMF to view all trades and transactions carried out through my/our account and share details of such trades and transactions to enable the IFPMF to track my/our investments and provide necessary assistance accordingly.

I/We hereby state & confirm that I have read & understood the terms & conditions as mentioned above & agree to abide by the same.



## RIGHTS AND OBLIGATIONS RELATING TO MARGIN TRADING FACILITY PROVIDED TO CLIENTS (VOLUNTARY)

1. Angel is permitted to extend MTF to the clients on such terms and conditions as specified by the Stock Exchanges / SEBI from time to time and as mutually agreed by and between Angel and the Clients. This Rights and Obligation comprises the terms and conditions applicable to MTF and Angel and clients shall abide by the same and any other requirements of the margin trading framework, including other rights and obligations, if any, prescribed by the Stock Exchange/ SEBI/ Angel from time to time. Any modifications to the terms and conditions, other than those prescribed by SEBI/Stock Exchanges, shall be intimated to the Clients giving 15 days notice in advance.
2. Equity Shares that are classified as 'Group I Security' by SEBI only shall be eligible for MTF. Angel, at its discretion, may not provide funding under MTF to certain equity shares though classified to be "Group I Security" by SEBI. Equity shares shortlisted by Angel for funding (Approved List) shall be as displayed on Angel's trading website from time to time.
3. Initial margin, increased margin, margin shortage, margin calls, maximum allowable exposure, maximum stock specific exposure, trade confirmation, square off intimation and such other information in relation to MTF shall be communicated to the Clients electronically through one or many or all of the following modes, viz email, SMS, WhatsApp, mobile notifications, and additionally through telephone calls.
4. In order to avail of margin facility, the minimum initial margin required to be provided by the Clients, as prescribed by SEBI/Stock Exchanges, is as under: VaR + 3 times of applicable ELM in case of F & O Stocks (i.e., stocks available for trading in the F&O Segment. VaR + 5 times of applicable ELM in case of stocks other than F & O Stocks. VaR and ELM shall mean VaR and ELM as applicable to respective stocks in the cash segment.
5. Client shall be required to provide the minimum initial margin as applicable for a particular stock to buy that stock under MTF. The margin shall never be lower than that prescribed by the Stock Exchange/SEBI. However, Angel shall have the right to demand a higher initial margin than the margin prescribed by SEBI/Stock Exchanges.
6. Subject to the initial margin as aforesaid, Angel may, at its sole and absolute discretion, revise and increase from time to time the margin required for any stock permitted to be traded under MTF. Where client has exposure in the stock in respect of which margin has been revised but does not already have sufficient credit in the account to meet increase in margin, Client shall pay margin found short within the time prescribed for making margin payment.
7. Applicable minimum initial margin, increased margin, margin shortfall, if any, can be paid in the form of cash, cash equivalent, or Group I equity shares with appropriate hair cut as specified in SEBI Master Circular No. SEBI/HO/MRD/DP/CIR/P/2016/135, DTD. 6/12/2016. Client shall have the right to change collateral securities provided under the MTF with other collateral securities provided that such other collateral securities are approved and sufficient to meet the margin required.
8. Margin requirement on shares purchased under MTF shall be computed by grossing applicable margin i.e., minimum initial margin plus increased margin, if any, on each stock and shortage computed accordingly by deducting available margin from gross margin. Collateral shares and shares purchased under MTF (Funded Shares) shall be marked to market daily for the purpose of computing the margin/shortage of margin.
9. Applicable minimum initial margin and increased margin, if any, shall be kept supplied at all times by the clients in respect of the stocks purchased under the MTF. Client shall pay any shortage in the required margin immediately on receiving demand (margin call) and in any case not exceeding 5 working days from the day of making margin call failing which Angel shall be at liberty to liquidate the funded shares and/or collateral shares to recover the dues outstanding in the account of the Clients. Priority of shares to be liquidated shall depend on the parameters set forth in the Risk Management policy of the company as applicable from time to time. In case of extreme volatility in the market, Angel may demand payment of margin forthwith and prescribed time for making margin payment shall be construed accordingly. Decision of Angel in relation to market volatility shall be final and binding without Angel having to provide any reason for the decision to the Client.
10. Client in margin default shall continue to be in margin default, until the required margin is furnished in full to eliminate the shortage. Partial payment of margin or a change in the required margin shall not extend the time stipulated for making margin payment which will run from the time of making margin call to the Client.
11. In case margin is reduced by an amount equal to applicable ELM component of the total margin due to market volatility within a trading day (i.e., available margin becomes equal to or less than applicable VAR margin), Angel reserves the right to liquidate the collaterals and/or funded shares forthwith without prior notice to the client.
12. MTF Clients purchasing shares not specified in Angel Approved List of Group 1 securities shall be required to 100% margin upfront for such purchases.
13. Client shall inform the broker of its intent to shift the identified transaction under Margin Trading Facility within the time lines specified by the broker failing which the transaction will be treated under the normal trading facility.
14. If a client is debarred by orders of lawful authority from trading in the securities market, Angel shall liquidate collateral and funded shares of the client to recover its dues to the full extent forthwith.
15. Stock broker make amendments in RMS policy at any time but give effect to such policy after the amendments are duly communicated to the clients registered under the Margin Trading Facility.
16. Any transaction to be considered for exposure to MTF shall be determined as per the policy of the broker provided that such determination shall happen not later than T + 1 day.
17. Angel reserves the right to withdraw MTF with respect to any Client without assigning any reason after giving a reasonable notice to the Client in which case dues if any outstanding in the

- account of the Client shall become payable immediately. Failure to make payment of the outstanding dues shall result in liquidation of collateral and/or funded shares held in Client's account.
18. Client may terminate the MTF account after paying all dues in the MTF account.
19. Angel shall not use the funds and securities of one client to provide MTF to another client, even on the authority of the client.
20. The stocks deposited as margin collateral and funded stock shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount.
21. The MTF facility may be withdrawn by the broker, in the event of client committing any breach of any terms or conditions therein or at anytime after due intimation to client allowing such time to liquidate the MTF position as per the agreed liquidation terms without assigning any reason. Similarly, client may opt to terminate the margin trading facility in the event of broker committing any breach of any terms or conditions therein or for any other reason.
22. In the event of termination of this arrangement, the client shall forthwith settle the dues of the Stock Broker. The Stock Broker shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes the Stock Broker to make such adjustment.
23. Angel shall restrict the maximum gross exposure as well as individual stock-wise exposure of a client under the MTF at any point in time according to its internal policies and market views without assigning any reasons to the client. Furnishing applicable margin shall not by itself entitle the client to seek exposure beyond the limit restricted by Angel.
24. Admitting clients for MTF shall be at the discretion of the Stock Broker/Trading Member. Clients request for admission to MTF may be disallowed without assigning any reason.
25. By agreeing to avail of MTF, the client shall be deemed to have authorized Angel to retain and/or pledge the shares purchased under MTF (funded shares) and collateral shares provided as margin till the amount due in respect of the purchase and all other dues are paid in full by the Client.
26. All outstanding dues under MTF shall carry interest @18% p.a, compounded at fortnightly rest.
27. Client shall be free to take the delivery of the securities at any time by repaying the amounts that was paid by the Stock Broker to the Exchange towards securities after paying all dues.
28. Until full payment of the outstanding dues in the MTF A/c is made by the Client, collateral shares and funded shares, as far as may be required, shall be retained in the Demat A/c of Angel, separately identified as collateral shares and funded shares.
29. Daily margin statement sent to the MTF clients shall identify margin/collateral for MTF transaction separately.
30. Angel shall declare and communicate to the Client risk management policies that it will follow with respect to MTF transactions. Angel may amend the policies from time to time according to its risk perceptions and inform the Clients of the amendments made.
31. Any disputes arising between the client and Angel in connection with the margin trading facility shall be resolved through the investor grievance redressal mechanism and/or arbitration mechanism of the stock exchanges as in the case of normal trades.
32. The Rights and Obligations prescribed hereinabove shall be read in conjunction with the rights and obligations as prescribed under SEBI circular No. CIR/ MIRSD/ 16/ 2011 d a t e d A u g u s t 2 2 , 2 0 1 1 , S E B I C i r c u l a r N o . CIR/MRD/DP/54/2017 Dtd. June 13, 2017, the Circulars relating to MTF issued by the respective Stock Exchanges, any modifications thereto from time to time and the Policies and Procedures prescribed by Angel and the terms and conditions of client's agreement with Angel. In case of any inconsistencies between the Rights and Obligations herein and the provisions in the aforesaid SEBI and/or Stock Exchange Circulars, the later shall prevail to the extent of such inconsistencies.
33. MTF account where there is no transaction under MTF for more than 90 days shall be settled immediately on expiry of said 90 days provided there are no dues outstanding in the MTF account. Dues if any outstanding in the normal trading account shall be first adjusted against the settlement amount and the remainder shall be paid to the Client.

I would like to avail of Margin Trading Facility and agree to the above Rights & Obligation

Signature of the Authorised Signatory  
with company seal



DEMAT DEBIT AND PLEDGE INSTRUCTION -DDPI (VOLUNATRY)

POWER OF ATTORNEY (VOLUNTARY FOR NON - INDIVIDUAL)

TO ALL TO WHOM THESE PRESENTS SHALL COME We M/s\_\_\_\_\_, a sole proprietary concern with Proprietor Mr./Ms.\_\_\_\_\_ and having place of business and or residing at \_\_\_\_\_

(hereinafter referred to as"the client"), send greetings; (or) M/s\_\_\_\_\_, a HUF with Mr./Ms\_\_\_\_\_ as its Karta and having its office at \_\_\_\_\_

\_\_\_\_\_ (hereinafter referred to as"the client") send greetings; (or) M/s\_\_\_\_\_, a partnership firm with Mr./Mrs./Ms\_\_\_\_\_ ; Mr./Mrs./Ms\_\_\_\_\_ and Mr./Mrs./Ms\_\_\_\_\_ as its partners (names of all partners to be given) having its office at \_\_\_\_\_

(hereinafter referred to as"the client") send greetings; (or) M/s\_\_\_\_\_ , a public/private limited company incorporated under the Companies Act, 1956 and having its registered office at \_\_\_\_\_ and corporate office at \_\_\_\_\_

(hereinafter referred to as"the client") send greetings

Whereas I/we hold a Beneficiary account no. \_\_\_\_\_ (BO-ID) with Central Depository Services (India) Limited, with Angel One Ltd. (a Depository Participant registered with CDSL) bearing DP-ID \_\_\_\_\_.

And Whereas I/we are registered as a client with Angel One Ltd. (trading member of Bombay Stock Exchange Ltd, MCX Stock Exchange Ltd. and National Stock Exchange of India Ltd) for dealing in the securities market.

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE/ AND APPOINT M/s Angel One Ltd., as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do the following:

S.No.	Purpose	Signature along with Company Seal	Signature along with Company Seal	Signature along with Company Seal
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	Signature	Signature	Signature
2	Pledging / re-pledging of securities in favour of trading member (TM)/ clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	Signature	Signature	Signature
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	Signature	Signature	Signature
4	Tendering shares in open offers through Stock Exchange platforms	Signature	Signature	Signature

This authorization will continue to remain valid until revoked in writing by me/us. Such revocation or termination shall in no way affect the validity of this document (DDPI) with reference to any transactions initiated by me/us, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above.

I/We accept (For Angel One Ltd.)



Authorised Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Signature of Co-parceners:

Name:	Signature:
Name:	Signature:
Name:	Signature:
Name:	Signature:

☒ Signature of Witness: I / We accept (For Angel One Ltd.)

Name: \_\_\_\_\_ ☒ Authorised Signatory: \_\_\_\_\_

Address: \_\_\_\_\_ Place: \_\_\_\_\_  
\_\_\_\_\_  
Date: \_\_\_\_\_

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS					
Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
ANGEL ONE LIMITED	1203320006951435	NSE	CDSL - NSE POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1203320008188083	NSESLB	CDSL - SLBM POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1100001100017670	NSE	NSE EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1100002300001190	NSESLB	SLBM EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1203320186015090	NSE	CDSL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ICICI BANK LIMITED	IN30134820306643	NSE	NSDL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ANGEL ONE LIMITED	1203320030135829	NSE	CLIENT SECURITIES MARGIN FUNDING ACCOUNT	MFT Pledge Account	
ANGEL ONE LIMITED	1203320030135814	NSE	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	Margin Pledge Account	
ILFS	IN30009510184021	NSE	NSDL - NSE POOL ACCOUNT	Payin & Payout Account	IN556929
HDFC BANK	IN30012610003588	BSE	NSDL BSE POOL ACCOUNT	Payout Account	In606125
ANGEL ONE LIMITED	1203320000006564	BSE	CDSL BSE POOL ACCOUNT	Payout Account	
ANGEL ONE LIMITED	1203320000006579	BSE	CDSL BSE PRINCIPAL ACCOUNT	Payin Account	
ANGEL ONE LIMITED	1100001000014641	BSE	BSE EARLY PAY IN	Early Payin Account	

TO ALL TO WHOM THESE PRESENTS SHALL COME We M/s \_\_\_\_\_, a sole proprietary concern with Proprietor Mr./Ms. \_\_\_\_\_ and having place of business and or residing at \_\_\_\_\_

(hereinafter referred to as "the client"), send greetings; (or) M/s \_\_\_\_\_, a HUF with Mr./Ms. \_\_\_\_\_ as its Karta and having its office at \_\_\_\_\_

\_\_\_\_\_ (hereinafter referred to as "the client") send greetings; (or) M/s \_\_\_\_\_, a partnership firm with Mr./Mrs./Ms. \_\_\_\_\_; Mr./Mrs./Ms. \_\_\_\_\_ and Mr./Mrs./Ms. \_\_\_\_\_ as its partners (names of all partners to be given) having its office at \_\_\_\_\_

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NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE/ AND APPOINT M/s Angel One Ltd., as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do the following:


1. To apply for and subscribe, to on my/our instructions, to various products like Initial Public Offerings, Public Issues (shares as well as debentures), Rights etc., including redemption where applicable, through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary, deeds, matters, things, functions and obligations in connection therewith.
2. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
3. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
4. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise if any power herein.
5. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power shall continue in full force and effect until my/our Attorney shall received written notice of revocation thereof, signed by me/us; in the event of termination thereof my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transactions, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

 \_\_\_\_\_

(First/Sole Holder Signature)

 \_\_\_\_\_

(Second Holder Signature)

 \_\_\_\_\_

(Third Holder Signature)

Signature of Witness:

 \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

I/We accept (For Angel One Ltd.)



Authorised Signature: \_\_\_\_\_

Date: \_\_\_\_\_

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS					
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ANGEL ONE LIMITED	1100001000014641	BSE	BSE EARLY PAY IN	Early Payin Account	



Registered / Correspondence Office: 601, 6th Floor, Ackruti Star, Central Road, MIDC, Andheri East, Mumbai - 400093.  
Tel.: 18001020 Website: [www.angelone.in](http://www.angelone.in) CIN: U67120MH1996PTC101709  
Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd./ Metropolitan Stock Exchange of India Ltd. /  
Multi Commodity Exchange of India Ltd. / National Commodity & Derivatives Exchange Ltd. / CDSL-Depository Participant

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SEBI Registration No.: INZ000161534

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BSE & NSE Cash Segment

TM Code - BSE 612 TM Code - NSE 12798

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BSE & NSE Commodities Derivative Segment

TM Code - BSE 612 TM Code - NSE 12798

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BSE & NSE F&O Segment (Trading Cum Clearing Member)

TM Code - BSE 612 TM Code - NSE 12798

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NSE Currency Derivatives Segment (Trading cum Clearing Member)

TM Code - NSE Currency 12798

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BSE Currency Derivatives Segment (Trading Cum Clearing Member)

TM Code - 612

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Multi Commodity Exchange of India Ltd. (Trading cum Clearing Member)

TM Code - 12685

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National Commodity & Derivatives Exchange Ltd. (Trading cum Clearing Member)

TM Code - 00220

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NSE SLBM segment ( Trading Cum Clearing Member)

TM Code 12798

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CDSL-Depository Participant - SEBI Registration No.: IN-DP-CDSL-234-2004 Date: 12/5/2004 |

CDSL DP ID: 12033200, 12033201, 12033202 & 12033203

For any grievance/dispute please contact Angel One Limited at the above address or email id: [support@angelone.in](mailto:support@angelone.in) and  
PhoneNo.:18001020. In case not satisfied with the response, please contact the concerned exchange (s):

BSE Email: [is@bseindia.com](mailto:is@bseindia.com) & Tel.: (022) 2272 8097 | NSE Email: [ignse@nse.co.in](mailto:ignse@nse.co.in) & Tel.: (022) 2659 8190 | MCX Email:  
[customersupport@mcxindia.com](mailto:customersupport@mcxindia.com) & Tel No: (022) 6731 8888 | NCDEX Email: [askus@ncdex.com](mailto:askus@ncdex.com) & Tel No: (022) 6640 6899

Compliance Officer Name: Mr. Bineet Jha. Tel.: 18001020. Email ID: [compliance@angelbroking.com](mailto:compliance@angelbroking.com)

CMD Name: Mr. Dinesh Thakkar - 08657864229 . Email ID: [support@angelone.in](mailto:support@angelone.in)

Also refer our website: [www.angelone.in](http://www.angelone.in) for updated details, if any.



For details: Please contact your nearest branch or call  
Centralized Helpdesk at: 18001020  
or write to [support@angelone.in](mailto:support@angelone.in)