



# ACCOUNT OPENING FORM FOR NRI

## FOR CSO USE ONLY

Form No.	1234567890
Client Code	
DP ID	
Branch Code	
AP Code	
Ver: 1.7	Nov 2022


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## INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No.	
<b>MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI &amp; EXCHANGES</b>				
1.	KRA Form and Account Opening Form	A. KYC Form - Document captures the basic information about the constituent and an instruction/check list.	1 to 4	
		B. Document captures the additional information about the constituent relevant to Trading/ Demat account and an instruction/check list.		
2.	Tariff sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on exchange(s) & DP Service charges/Fee Structure	5	
3.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	<b>SEPARATE COPY FOR CLIENT</b>	
4.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, Authorised Person and client for trading on equity and commodity exchange (including additional rights & obligations in case of internet/wireless technology based trading).		
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities & commodities market.		
6.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.		
7.	Rights & Obligations of Beneficial Owners & Depository Participants:	Document stating the Rights & Obligations of Beneficial Owners and Depository Participants.		
<b>VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER</b>				
1.	Running Account Authorisation	Authorisation to maintain Running Account		6
2.	Voluntary Terms & Conditions	Additional terms & conditions specific to clients for the purpose of operational efficiency.	6 to 8	
3.	Nomination Form, Nominee opt out Form, Power of Attorney & DDPI POA	Nominee details for Trading and Demat Account / Consent for Nominee opt out / POA provided by BO to Angel for settlement / Margin purpose / Demat Debit & Pledge Instruction	9 to 15	
4.	CKYC	Application form for Central KYC Registration	16	
5.	Declaration on FATCA	Declaration by NRI clients for compliance with FATCA	17	
6.	Declaration by NRI clients	Declaration by NRI client for Trading/Demat Account	18 to 19	
7.	Undertaking	Undertaking with respect to submission of Passport as identity & address proof	19	


### IMPORTANT NOTE


#### 1. Signature Types:

 Signature of Account Holder

 Signature of Introducer

 Signature of Guardian

 Signature of First Witness

 Signature of Second Witness

2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

## KYC CHECKLIST (PLEASE TICK WHEREVER APPROPRIATE)

a) Passport copy (page with photograph, address page and page showing recent arrival stamp of India\*)

b) Visa copy / OCI or PIO card copy is required if place of birth is other than India.

c) Pan card copy

d) Foreign address proof (Any one of the following)

- i. Passport / Driving License / Voter's ID / Ration Card / Registered Lease or Sale Agreement of Residence / Flat Maintenance bill/ Insurance Copy.
- ii. Utility bill / Electricity bill / gas bill / water bill (Not more than 3 months old)
- iii. Original bank statement + cancelled cheque leaf / Copy of bank statement duly attested by Bank officer with his name, branch, designation, signature and banker stamp. (Not more than 3 months old) + Cancelled cheque leaf
- iv. Bank verification letter (on letterhead of bank)

e) Indian address proof (Any one of the following)

- i. Passport / Driving License / UID (Aadhaar) / Voter's ID / Ration Card / Registered Lease or Sale Agreement of Residence / Flat Maintenance bill/ Insurance Copy.
- ii. Utility bill / Electricity bill / gas bill / water bill (Not more than 3 months old)
- iii. Original bank statement + cancelled cheque leaf / Copy of bank statement duly attested by Bank officer with his name, branch, designation, signature and banker stamp. (Not more than 3 months old) + Cancelled cheque leaf
- iv. Bank verification letter (on letterhead of bank)

f) PIS Permission copy - PIS is portfolio Investment scheme of RBI under which NRIs are allowed to trade in Indian secondary market. It can be obtained from Authorised bankers(Ads).

Note: All the above mentioned documents need to be self attested. \*In absence of in-person verification, all the above mentioned documents need to be attested either by Indian embassy or consulate general of the country where NRI resides / overseas notary / Overseas Banker / Court, Magistrate, Judge+ self attested.

g) Cancelled cheque leaf of NRE / NRO savings bank account.

Additional Documents:

In case of F&O, client will have to submit any one of the below Income proof:

- Copy of ITR Acknowledgment (for last financial year)
- Copy of Annual Accounts (for last financial year)
- Copy of Form 16 in case of salary income (for last financial year)
- Net worth certificate (latest one, or at the end of last financial year)
- Salary Slip
- Bank account statement or Bank passbook (for last 6 months)
- Any other relevant documents substantiating ownership of assets
- Self-declaration along with relevant supporting. (The income as per declaration should be commensurate with the supporting document provided)

Note: F&O is possible only through NRO (Non repatriable) Account.

## IMPORTANT INSTRUCTIONS

1. All details to be filled in Capital Block letters in Black / Blue Ink Only.
2. Email ID & Mobile number is very important for account related passwords and transaction details.
3. Corrections in the KYC form should be counter signed.
4. Strike off whichever option, in the account opening form, where not applicable.
5. All Originals to be produced for physical verification.
6. If any proof of identity or address is in a regional language, then translation into English is required.
7. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.



KYC Checklist

**FIRST HOLDER**

**KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR INDIVIDUALS ONLY)**

Application No. :

Please fill in ENGLISH & in BLOCK LETTERS with black/blue ink & tick the appropriate options

**A. IDENTITY DETAILS**

1. Name of Applicant			
Father's/ Spouse Name			
2. a. Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	
b. Date of birth	DD / MM / YYYY	c. Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married
3. Nationality	<input checked="" type="checkbox"/> Indian <input type="checkbox"/> Others (Please Specify)		
4. PAN		Aadhaar	

**PHOTOGRAPH**

Please affix your recent passport size photograph and sign across it

5. Specify the proof of identity submitted:

1. Correspondence Address			
City/Town/Village		Pin Code	
State		Country	
2. Contact/Mobile No		Tel (Res.)	
Tel (Off.)		Email id	

3. Specify the proof of address submitted for Correspondence Address:

4. Permanent Address <small>(If different from above or overseas address, mandatory for Non-Resident applicant)</small>			
City/Town/Village		Pin Code	
State		Country	

5. Specify the proof of address submitted for Permanent Address:

I/We also instruct Angel One to download information available from KRA/CKYC.

**Declaration:** I hereby declare that the KYC details furnished by me is true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby further provide consent to receive information from CVL KRA through SMS/Email on the above registered number/Email address. I am also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I hereby provide my consent to Angel One to share my masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC validation purposes only.

Place		Signature of Applicant
Date	DD / MM / YYYY	

**FOR OFFICE USE ONLY**

Details of Employee	Documents verified with Originals	Client Interviewed By & In-Person Verification done by
Name & E Code		
Designation		
Date	DD / MM / YYYY	DD / MM / YYYY
Signature		

## TRADING ACCOUNT RELATED DETAILS - NRE

### A. BANK ACCOUNT DETAILS

Bank 1 : Bank Name & Address:

NRE Saving A/c No.

MICR Code  IFSC Code

Bank 2 : Bank Name & Address:

NRE PIS A/c No.

RBI Approval Date  DD / MM / YYYY RBI Reference No

MICR Code  IFSC Code

### B. DEPOSITORY ACCOUNT DETAILS

	DP 1 Details	DP 2 Details
Depository	<input checked="" type="checkbox"/> CDSL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL <input style="width: 20%; border: none;" type="text"/>
DP Name	Angel One Ltd	
Client Name	<input style="width: 100%; border: none;" type="text"/>	
DP ID	12033200 & 12033201	
Client ID	<input style="width: 100%; border: none;" type="text"/>	

### DEMAT ACCOUNT RELATED ADDITIONAL DETAILS (TO BE FILLED BY DEPOSITORY PARTICIPANT)

DP Internal Ref. No.

### C. TYPE OF ACCOUNT (PLEASE TICK WHICHEVER IS APPLICABLE)

Status	Sub Type
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> Others (Specify): <input style="width: 20%; border: none;" type="text"/>

## TRADING ACCOUNT RELATED DETAILS - NRO

### A. BANK ACCOUNT DETAILS

Bank 1 : Bank Name & Address:

NRO Saving A/c No.

MICR Code  IFSC Code

Bank 2 : Bank Name & Address:

NRO PIS A/c No.

RBI Approval Date  DD / MM / YYYY RBI Reference No

MICR Code  IFSC Code

### B. DEPOSITORY ACCOUNT DETAILS

	DP 1 Details	DP 2 Details
Depository	<input checked="" type="checkbox"/> CDSL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL <input style="width: 20%; border: none;" type="text"/>
DP Name	Angel One Ltd	
Client Name	<input style="width: 100%; border: none;" type="text"/>	
DP ID	12033200 & 12033201	
Client ID	<input style="width: 100%; border: none;" type="text"/>	

### DEMAT ACCOUNT RELATED ADDITIONAL DETAILS (TO BE FILLED BY DEPOSITORY PARTICIPANT)

DP Internal Ref. No.

C. TYPE OF ACCOUNT (PLEASE TICK WHICHEVER IS APPLICABLE)			
Status	Sub Type		
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable	<input type="checkbox"/> Others (Specify):
D. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS			
If Yes, please specify:			
Name of Stock Broker	Name of AP		
Name of Exchange	Client Code (as given by other broker)		
Details of disputes/dues pending from/to such stock broker:			
Whether you are a Member / AP of any Exchange			<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, provide SEBI REGISTRATION NO:			
E. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE			
Contract Note / Holding & Transaction Statement	<input type="checkbox"/> Electronic	<input type="checkbox"/> Physical	
Receive Delivery Instruction Slip	<input type="checkbox"/> No	<input type="checkbox"/> Yes	
Share Email ID with Registrar & Transfer Agent	<input type="checkbox"/> No	<input type="checkbox"/> Yes	
Receive Annual Report	<input type="checkbox"/> Electronic	<input type="checkbox"/> Physical	<input type="checkbox"/> Both
DP Account Statement	<input type="checkbox"/> Monthly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Weekly
	<input type="checkbox"/> As per SEBI Regulation		
Declaration for Mobile Number / Email	<input type="checkbox"/> Self	<input type="checkbox"/> Spouse	<input type="checkbox"/> Child <input type="checkbox"/> Parent
SMS Alert Facility - Mandatory if you are giving Power Of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')[Automatic Credit]	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
<p><b>Note:</b></p> <p>1. Dividend / Interest will be credited to bank account via ECS.</p> <p>2. In case client doesn't opt for DIS booklet, it would be issued on request at any later date.</p> <p>3. BO can view his ISIN balances, transactions &amp; value portfolio online. To register for Easi please visit website <a href="http://www.cdslindia.com">www.cdslindia.com</a></p>			
F. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002			
Experience	Number of years of Investment / Trading Experience <input type="text"/> <input type="text"/>		
Gross Annual Income	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs		
	OR Net Worth in ` (*Net worth should not be older than on date DD / MM / YYYY)		
Occupation (Please tick)	<input type="checkbox"/> Govt Service	<input type="checkbox"/> Professional	<input type="checkbox"/> Private Sector Service
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Business	<input type="checkbox"/> Retired <input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Others (Pls Specify) _____
Nature of Business	<input type="checkbox"/> Manufacturing	<input type="checkbox"/> Services	<input type="checkbox"/> Trading
	<input type="checkbox"/> Consultancy	<input type="checkbox"/> Others _____	
Is the Client Politically Exposed Person (PEP) or Related to a PEP			<input type="checkbox"/> Yes <input type="checkbox"/> No

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

G. TRADING PREFERENCES \*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Exchange	Segment	Client Signature
NSE	Cash	
BSE	Cash	

If, in future, the client wants to trade on any New Segment / New Exchange, separate authorization / letter will be taken.

H. PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners / promoters / whole time directors /authorized persons in charge of dealing in securities during the last 3 years:

No  If yes, please specify

I. INTRODUCER DETAILS

Status of Introducer  AP  Employee  Existing Client  Others (Specify):

Introducer Name: Emp/Client Code:

Address:

Mobile No:

Signature of the Introducer 

DECLARATION

I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP/Stock broker any change(s) in the details / particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

I / We have received, read and understood the 'Rights and Obligations Document' for trading and DP Services, 'Risk Disclosure Document', 'Guidance Note' and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We also confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet. I / We have also the informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. www.angelone.in.



(Account Holder Signature)

Place: \_\_\_\_\_

Date: DD / MM / YYYY

## BROKERAGE DETAILS

Delivery (%)	Equity Intraday / Futures (Each Leg)(%)	Options (Per Lot in `) Each Leg			Currency Futures (Each Leg)(%)	DP AMC Waivers
		Nifty	Stock	Currency		
<input type="checkbox"/> MARGIN < 25,000		MARGIN COLLECTED:				
						NA
<input type="checkbox"/> MARGIN > 25,000		MARGIN COLLECTED:				
						For 1st Year
Minimum brokerage of		paise per share for Trading &		paise per share for Delivery will be charged.		

## CHARGES FOR DEPOSITORY SERVICES

NO.	SERVICES	CHARGES
1.	<input type="checkbox"/> Annual Maintenance Charges (Charged Annually) <input type="checkbox"/> Lifetime AMC (Charged upfront for once)	Rs. 450/- Per annum } Select any one Rs. 2500/- Lifetime }
2.	Debit transaction / Pledge creation & Pledge closure	Rs. 20/- per ISIN
3.	Dematerialization	Rs. 20/- Per certificate
4.	Rematerialization	Actual CDSL charges + Rs. 30/-
5.	Additional Delivery Instruction Slip requisition	Rs. 25/- Per request

Service tax & other levies are levied over and above the charges mentioned in the list

**Note:**

1. Please note that the brokerage levied to your trading account shall be the higher of brokerage value as per the existing rates or Rs. 30/- per settlement / segment, subject to the maximum rates prescribed by the regulator(s) from time to time.
2. Inter-Settlement charge towards debit transactions of client shares from Angel beneficiary and Angel collateral account, Rs. 20/- per ISIN would be debited in the client ledger.
3. KRA charges on actual basis, on account fetching and / or registration from / with KRA authority.
4. Stamp duty on the documents to be executed shall be payable of the rates applicable from time to time.
5. Angel One Ltd reserves the right to increase the tariff charges from time to time at its sole discretion, under 30 days prior intimation to clients either by way of ordinary post or by an email and by notification on the back office interface.
6. Services tax, Education cess & other statutory levies (if any) would be charged extra wherever applicable as per the prevailing rates.
7. Brokerage levied to your trading account is subject to the maximum rates prescribed by the regulator(s) from time to time.

(Account Holder Signature)



## STANDING INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

I / We request you to maintain my/our accounts for funds on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free funds in the account. You may settle the accounts at Monthly/Quarterly (as opted in the account opening form) or at such other intervals as SEBI/ Exchanges may specify from time to time. I / We further authorize you to retain funds as may be

permitted by Exchanges/SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.

Client Code: \_\_\_\_\_



(Account Holder Signature)

## VOLUNTARY TERMS AND CONDITIONS

Whereas the client intends to open securities / commodities trading accounts with Angel One Ltd., (hereinafter referred as Angel) for the purpose of trading in Capital Market Segment, Futures & Options and Currency Derivative Segments and Commodities Futures & Options of the National Stock Exchange of India Ltd., the Bombay Stock Exchange Ltd., the Metropolitan Stock Exchange of India Limited, Multi Commodity Exchange, National Commodity & Derivatives Exchange Limited and Mutual Fund transactions Facilities offered by Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Angel and also the additional services that may be made available by Angel from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

1. Authorization to debit additional charges with regard to Trading and Demat Account: Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Angel may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account / Counter Demat account for any service rendered by Angel and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with Angel One.
2. Payment by cheque: Where payment by the client towards margin money is made through cheque / pay order / demand draft issued in favor of Angel, trades may be executed at the discretion of Angel only upon realization of the funds of the said cheque / pay order / demand draft.
3. Lien: All securities, commodities funds and/or properties (Any assets available with Angel) of the Client as may be permitted by the Exchange(s) from time to time to be placed with Angel shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Angel. Angel shall be entitled to withhold such securities, commodities, funds and/or property of the Client as security towards any such un-discharged liabilities or obligation of the Client and to sell and/or appropriate to itself all such securities, commodities, funds or properties at its sole discretion & at any point of time, without notice to client.
4. Authorization for delivering / pledging shares: The client

understands and agrees that Angel may deliver to the Exchange any securities held by it on behalf of the client to discharge settlement obligations in respect of securities sold by the client or pledge the same with the clearing house of the recognized stock exchange in any segment where the Client is registered for trading for the purpose of providing margin for the trading positions contracted or to be contracted by the Client or with any scheduled commercial bank, Non-Banking Financial Institution or other financial institution for raising funds to the extent account of the client carries debit balance but without any obligation on its part to so raise funds by pledging the securities and without prejudice to the right of Angel to enforce, at its option, the collateral security in the securities to recover the debit balance at any time.

5. Authorization for Inter segment fund balance transfer and stock transfers: The client hereby authorizes Angel to transfer its debit/credit balances in the ledger account arising during the course of trades in any segment including commodities segment to its ledger account in any other segment including commodities segment or to transfer any stock purchased/lying in its account in any segment including commodities segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Angel.
6. Disclaimer: The Client understands and agrees that neither Angel nor any other party disseminating any market data, message or information through the Website of Angel or in any other media shall be liable for:
  - (a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or
  - (b) Any loss or damage arising from or occasioned by (i) Any such inaccuracy, error, delay or omission, (ii) Nonperformance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Angel or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Angel or any disseminating party.
7. Manner and Mode of placing orders/instructions: The Client may communicate orders and other instructions to Angel or the sub-broker/authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. Client may use any one or more of these means as may be permitted by the SEBI / Exchanges from time to time for placing orders.

8. Nonexecution/ delay/cancellation of Orders: The Client may communicate orders and other instructions to Angel or the authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. The client hereby agrees that Angel or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading beyond the control of Angel or the Exchange.
9. Client not to act on representations of agents, employees: Client is aware that Angel has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Angel.
10. Recording of Conversation: The client is aware and agrees that Angel may tape record the conversation between the client/client's representative and Angel, whether over the telephone or in person, as per applicable laws, rules and regulations of SEBI / Exchanges. Angel may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.
11. Confidentiality of Client Details: Angel may disclose the client information to any person /entity as required under the law or to any broker's Association or organisations in case of dispute in order to take informed decision. The Client hereby agrees and give its consents for the disclosure by Angel to any person or entity including but not limited to any independent third parties or any entities of Angel Group, whether within or outside India, of any information and data relating to Client or relating to Client's trading account with Angel for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.
12. Disclosure as to Proprietary Trades by Angel: Angel may carry out proprietary trades in addition to trades on behalf of its Clients.
13. Severance: In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.
14. No Waiver: No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.
15. Notices: All notices or communications issued by Angel shall be served on the Client in any one or more or all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:
- 15.1 (a) By ordinary post or (b) By registered post or (c) By express delivery post or (d) by SMS on registered mobile or by telephonic call or (e) By affixing it on the door at the last known business or residential address or (f) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (g) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (h) By publishing it on the website of Angel wherein secured log-id and password to Client is provided or (i) By a notice posted on the notice board of the Exchange if no address be known or (j) By electronic mail or fax or (k) By hand delivery or By Courier or any other approved mode as may be allowed for communication.
- 15.2 Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Angel and the Client may be made orally.
16. Electronic Contract Note (ECN):
- 16.1. Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Angel in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.
- 16.2. The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Angel either in writing or via E-mail within reasonable time of the receipt of the same. Angel shall also publish the Contract Note on the Web site [www.angelone.in](http://www.angelone.in) or on any other designated location specified by Angel from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Angel may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advise Angel of such a difficulty shall amount to valid delivery and viewing of the document by the Client.
17. In the event of the Contract notes (ECN) not received by me/us in electronic mode due to the mail bouncing/failure of email servers, loss of connectivity etc. I/we agree to acknowledge the receipt of Contract Notes in physical mode, provided such Contract Notes are received by me/us within the time specified by regulatory authorities. In case you do not receive intimation of the mail bouncing, the same will be construed as my/our confirmation to the receipt of Contract Notes (ECN) in electronic mode and you will not be required to send the Contract Notes in physical mode. In this connection, I/we hereby confirm that I/we am/are agreeable to receive Contract Notes in digital mode on my/our under mentioned email id. I/We understand that I/we am/are required to intimate any changes in the e mail id mentioned above needs to be communicated by me/us.
18. Electronic Payment Gateway for Net Banking Services: Angel may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by

various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Angel. Client understands that Angel is only providing access to the electronic fund transfer facility provided by the banker of the Client through Angel's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising thereout. Client undertakes not to make Angel a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Angel's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

19. Internet / Wireless Technology based Trading facility:

19.1. Angel offers Internet and mobile Trading facility for transaction in securities on the concerned Exchanges including facilities for online application of IPO / FPO / NFO / Bond issues or any other issues of securities or services to apply/purchase/redeem/sale/buyback or otherwise deal in the units of Mutual Funds (hereinafter referred to as "the Internet/wireless Trading system") through Exchange approved software. The Client can route its orders to Angel over the internet/mobile/laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Angel by complying with the formalities prescribed therefore.

19.2. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Angel may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Angel.

19.3. The client understands and agrees that Angel has different product of the Internet Trading /Wireless Trading softwares which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of the client, Angel shall have the exclusive right and liberty to change the product version allotted to the client and allot a different product version of the Internet Trading/Wireless Trading facility.

19.4. Orders of Client subject to review by Angel: The Client agrees

that the Angel may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order."

20. Extra Ordinary Events and termination/suspension of trading facility: Angel will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication net-work or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Angel's control resulting in nonexecution, partial or incomplete execution of orders and the resulting financial loss, if any Angel may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

21. Amendments to the terms and conditions: Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days of receiving the notification, the same shall be binding on the client.

22. Mutual Fund Service System Facility / BSE Star MF: Client is registered with Angel One and has executed Know Your Form and certain other documents for the purpose of trading in securities market on the recognized Stock Exchange (herein after referred as "Exchange"). In case client opts for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the SEBI recognized Stock Exchanges (Mutual Fund Transaction Facilities). Know Your Client details as submitted by the client for the stock broking shall be considered for the purpose of Mutual fund transaction facilities and abide by the terms and conditions as mentioned in the circulars as may be specified by the Exchanges from time to time in this regard. Client shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). Client shall read & understand the contents of the Scheme Information Document and Key Information Memorandum, addendum issued regarding each Mutual Fund Schemes with respect to which client choose to subscribe / redeem. Client further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. Client confirm to have read & understood the terms & conditions for using Mutual Fund transaction facility as stated in KYC handout (customer copy).


I/We hereby confirm to have read and understood the terms and conditions as mentioned above and agree to abide by the same.

FH

(Account Holder Signature)

**ANNEXURE-A**  
**NOMINEE DETAILS FOR TRADING AND DEMAT ACCOUNT**

Client Name		Trading Code		DP ID	12033200 & 12033201	Client ID	
Nominee Details	*Nominee 1	*Nominee 2	*Nominee 3				
First Name							
Middle Name							
Last Name							
Address							
City							
State							
PIN							
Contact Details							
PAN							
UIDAI							
Date of Birth							
% of allocation of Securities							
Relationship with BO							
Email ID of nominee(s)							
<b>Nominee Identification details -</b> [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID							
<b>GUARDIAN DETAILS (IF NOMINEE IS A MINOR)</b>							
First Name							
Address							
City							
State / Country							
PIN							
Relationship with Nominee							
Email ID of Guardian							
Contact Details							
Guardian Signature							
<b>Guardian Identification details -</b> [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID							
<div style="border: 1px solid black; width: 150px; height: 20px; display: inline-block; margin-bottom: 5px;"></div> (Account Holder Signature)							

Date			
Place			
WITNESSES (ONLY APPLICABLE IN CASE THE ACCOUNT HOLDER HAS MADE NOMINATION)			
Name			
Address			
Signature			
<p>"In case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any".</p>			
OFFICE USE ONLY			
(To be filled by Depository Participant)			
DP ID	12033200 & 12033201	Client ID	
<p>Nomination form accepted and registered vide Registration No.: _____</p> <p>I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.</p>			
		Seal/Stamp of the Intermediary	
Signature of the Authorised Signatory			

**Declaration Form for opting out of nomination**  
**[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]**

To		Date	D	D	M	M	Y	Y	Y	Y
Angel One Limited G-1, Ackruti Trade Centre, Road No. 7, MIDC, Andheri (E), Mumbai - 400 093.										
UCC/DP ID										
Client ID (only for Demat account)										
Sole/First Holder Name										
Second Holder Name										
Third Holder Name										
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.										
<b>Name and Signature of Holder(s)*</b>										
<div style="border: 1px solid black; width: 150px; height: 20px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"> <span style="font-size: 10px; font-weight: bold;">FH</span> </div> (Account Holder Signature)										

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature			
Name			
Address			
Signature			

# POWER OF ATTORNEY - POA (VOLUNTARY) AS PER EQUITY - NRE

## DULY STAMPED

TO ALL WHOM THESE PRESENTS SHALL COME I/we \_\_\_\_\_, (name of the BO, with full address), Indian inhabitant / Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. \_\_\_\_\_ (BO-ID) with Central Depository Service (India) Limited, with Angel One Ltd. (a Depository Participant registered with CDSL bearing DP-ID 12033200 & 12033201.

And Whereas I/we are registered as a client with Angel One Ltd. (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange Ltd. and Multi Commodity Exchange of India Ltd For dealing in the securities market)

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTHAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTUTE / AND APPOINT M/s Angel One Ltd. As my/our true and lawful attorney (here in after referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To apply for and subscribe, to on my/our instructions, to various products like Initial Public Offerings, Public Issues (shares as well as debentures), Rights etc., including redemption where applicable, through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary, deeds, matters, things, functions and obligations in connection therewith.
2. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
3. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
4. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise if any power herein.
5. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power shall continue in full force and effect until my/our Attorney shall received written notice of revocation thereof, signed by me/us; in the event of termination thereof my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transactions, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.



(Account Holder Signature )

Signature of Witness:



Name: \_\_\_\_\_

Address: \_\_\_\_\_

I/We accept (For Angel One Ltd.)



Authorised Signature: \_\_\_\_\_

Date: \_\_\_\_\_

### SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
HDFC Bank	IN300126-10003588	BSE	ABL/Pool A/c	BSE-NSDL Pay-in & Payout	In606125
Angel One Ltd.	1203320000006560	BSE	ABL/Pool A/c	BSE-CDSL Pay-in & Payout	
Angel One Ltd.	1100001000014640	BSE	ABL/Early Pay-in Account	BSE- Early Pay-in Account	
Angel One Ltd.	1203320000006570	BSE	ABL/Pool A/c	BSE-CDSL Pay-in & Payout	
IL & FS	IN300095-10184021	NSE	ABL/Pool A/c	NSE-NSDL Pay-in & Payout	In556929
Angel One Ltd.	1203320006951430	NSE	ABL/Pool A/c	NSE-CDSL Pay-in & Payout	
Angel One Ltd.	1100001100017670	NSE	ABL/Early Pay-in Account	NSE- Early Pay-in Account	
Angel One Ltd.	1203320030135810	NSE	ABL/CMFA	Margin pledge account	
Angel One Ltd.	1203320030135820	NSE	ABL/MTF Account	MTF pledge account	
Angel One Ltd.	1100002300001190	NSE	ABL/Early Pay-in Account	NSL-SLBM Early Pay-in Account	
Angel One Ltd.	1203320008188080	NSE	ABL/Pool A/c	NSE-SLBM CDSL Pay-in & Payout	

## DEMAT DEBIT AND PLEDGE INSTRUCTION -DDPI (VOLUNATRY) - NRE

DULY STAMPED

TO ALL TO WHOM THESE PRESENTS SHALL COME I/we \_\_\_\_\_, (name of the BO),  
Indian inhabitant/Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. \_\_\_\_\_ (BO-ID) with Central Depository Services (India) Limited,  
with Angel One Ltd (a Depository Participant registered with CDSL) bearing DP-ID 12033200 & 12033201.

And Whereas I/we are registered as a client with Angel One Ltd (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange Ltd. and Multi Commodity Exchange of India Ltd for dealing in the securities market.

Dear Sir/Madam,

I/We executing the Demat Debit and Pledge instruction in favour of Angel One Ltd., authorising them to operate aforesaid beneficiary account for the below mentioned specific purpose.

S.No.	Purpose	Signature of Account Holder
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	
4	Tendering shares in open offers through Stock Exchange platforms	

This authorization will continue to remain valid until revoked in writing by me/us. Such revocation or termination shall in no way affect the validity of this document (DDPI) with reference to any transactions initiated by me/us, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above.

I/We accept (For Angel One Ltd.)



Authorised Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
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Angel One Ltd.	1203320000006560	BSE	ABL/Pool A/c	BSE-CDSL Pay-in & Payout	
Angel One Ltd.	1100001000014640	BSE	ABL/Early Pay-in Account	BSE- Early Pay-in Account	
Angel One Ltd.	1203320000006570	BSE	ABL/Pool A/c	BSE-CDSL Pay-in & Payout	
IL & FS	IN300095-10184021	NSE	ABL/Pool A/c	NSE-NSDL Pay-in & Payout	In556929
Angel One Ltd.	1203320006951430	NSE	ABL/Pool A/c	NSE-CDSL Pay-in & Payout	
Angel One Ltd.	1100001100017670	NSE	ABL/Early Pay-in Account	NSE- Early Pay-in Account	
Angel One Ltd.	1203320030135810	NSE	ABL/CPMA	Margin pledge account	
Angel One Ltd.	1203320030135820	NSE	ABL/MTF Account	MTF pledge account	
Angel One Ltd.	1100002300001190	NSE	ABL/Early Pay-in Account	NSL-SLBM Early Pay-in Account	
Angel One Ltd.	1203320008188080	NSE	ABL/Pool A/c	NSE-SLBM CDSL Pay-in & Payout	



# POWER OF ATTORNEY - POA (VOLUNTARY) AS PER EQUITY - NRO

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And Whereas I/we are registered as a client with Angel One Ltd. (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., and Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange Ltd. and Multi Commodity Exchange of India Ltd For dealing in the securities market)

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTHAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTUTE / AND APPOINT M/s Angel One Ltd. As my/our true and lawful attorney (here in after referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To apply for and subscribe, to on my/our instructions, to various products like Initial Public Offerings, Public Issues (shares as well as debentures), Rights etc., including redemption where applicable, through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary, deeds, matters, things, functions and obligations in connection therewith.
2. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
3. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
4. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all Lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise if any power herein.
5. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power shall continue in full force and effect until my/our Attorney shall received written notice of revocation thereof, signed by me/us; in the event of termination thereof my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transactions, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

 \_\_\_\_\_

(Account Holder Signature )

Signature of Witness:

 \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

I/We accept (For Angel One Ltd.)



Authorised Signature: \_\_\_\_\_

Date: \_\_\_\_\_

### SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

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Angel One Ltd.	1203320000006560	BSE	ABL/Pool A/c	BSE-CDSL Pay-in & Payout	
Angel One Ltd.	1100001000014640	BSE	ABL/Early Pay-in Account	BSE- Early Pay-in Account	
Angel One Ltd.	1203320000006570	BSE	ABL/Pool A/c	BSE-CDSL Pay-in & Payout	
IL & FS	IN300095-10184021	NSE	ABL/Pool A/c	NSE-NSDL Pay-in & Payout	In556929
Angel One Ltd.	1203320006951430	NSE	ABL/Pool A/c	NSE-CDSL Pay-in & Payout	
Angel One Ltd.	1100001100017670	NSE	ABL/Early Pay-in Account	NSE- Early Pay-in Account	
Angel One Ltd.	1203320030135810	NSE	ABL/CMFA	Margin pledge account	
Angel One Ltd.	1203320030135820	NSE	ABL/MTF Account	MTF pledge account	
Angel One Ltd.	1100002300001190	NSE	ABL/Early Pay-in Account	NSL-SLBM Early Pay-in Account	
Angel One Ltd.	1203320008188080	NSE	ABL/Pool A/c	NSE-SLBM CDSL Pay-in & Payout	

# DEMAT DEBIT AND PLEDGE INSTRUCTION -DDPI (VOLUNATRY) - NRO

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with Angel One Ltd (a Depository Participant registered with CDSL) bearing DP-ID 12033200 & 12033201.

And Whereas I/we are registered as a client with Angel One Ltd (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange Ltd. and Multi Commodity Exchange of India Ltd for dealing in the securities market.

Dear Sir/Madam,

I/We executing the Demat Debit and Pledge instruction in favour of Angel One Ltd., authorising them to operate aforesaid beneficiary account for the below mentioned specific purpose.

S.No.	Purpose	Signature of Account Holder
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	
4	Tendering shares in open offers through Stock Exchange platforms	

This authorization will continue to remain valid until revoked in writing by me/us. Such revocation or termination shall in no way affect the validity of this document (DDPI) with reference to any transactions initiated by me/us, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above.

I/We accept (For Angel One Ltd.)



Authorised Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

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IL & FS	IN300095-10184021	NSE	ABL/Pool A/c	NSE-NSDL Pay-in & Payout	In556929
Angel One Ltd.	1203320006951430	NSE	ABL/Pool A/c	NSE-CDSL Pay-in & Payout	
Angel One Ltd.	1100001100017670	NSE	ABL/Early Pay-in Account	NSE- Early Pay-in Account	
Angel One Ltd.	1203320030135810	NSE	ABL/CMPA	Margin pledge account	
Angel One Ltd.	1203320030135820	NSE	ABL/MTF Account	MTF pledge account	
Angel One Ltd.	1100002300001190	NSE	ABL/Early Pay-in Account	NSL-SLBM Early Pay-in Account	
Angel One Ltd.	1203320008188080	NSE	ABL/Pool A/c	NSE-SLBM CDSL Pay-in & Payout	



# Annexure: CENTRAL KYC REGISTRY

## Know Your Customer (KYC) Application Form - Individual

Please fill the form in ENGLISH and in BLOCK letters

Client Code: \_\_\_\_\_

### A. Personal Details

Maiden Name	In case of Married Women		
Mother Name	First Name	Middle Name	Last Name

### B. Applicant Declaration

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the registered number/email address.

Signature / Thumb Impression of Applicant

Date:    Place:

### C. For Office Use Only

Application Type	<input type="checkbox"/> New	<input type="checkbox"/> Update
KYC Number	<input type="text"/>	(Mandatory for KYC update request)

# FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

		Account Holder			
Client Code:					
Demat Account No.					
1.	Indicate (✓) your Tax Residency / Citizenship / Nationality	<input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others	<input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others	<input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others	<input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others
If ticked on "Others" and/or "U.S.A", please provide all details under point no. 2,3,4,5 below:					
2.	Specify City and Country of Birth				
3.	Specify Country(ies) of Tax Residency/ Citizenship / Nationality / Green card holder, other than India				
4.	Tax Identification Number (for U.S.A.) or its functional equivalent (other than U.S.A.)				
5.	Source of Wealth	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Prize Money <input type="checkbox"/> Others	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Prize Money <input type="checkbox"/> Others	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Prize Money <input type="checkbox"/> Others	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Prize Money <input type="checkbox"/> Others

## DECLARATION

I / We hereby declare, agree and confirm the following:

- a) The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Angel One Limited within 30 days, in case of any change in the above given status on a future date;
- b) If I/we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Angel One Limited to the relevant tax authority, or information may be shared with concerned Asset Management Companies (AMCs) or such other product providers, to whom FATCA/ CRS norms are applicable or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;
- c) If my / our Country of Birth is US, however, I / We declare that I / We are not US Person, I / We shall provide a certificate of relinquishment of citizenship (Loss of nationality) OR a self certification stating reasons for not having such a certificate despite relinquishing US citizenship OR not obtaining US citizenship at birth.

(Account Holder Signature )

**What is FATCA / CRS?**

The U.S. government introduced the Foreign Account Tax Compliance Act, 2010 (FATCA) for obtaining information on accounts held by U.S. taxpayers in other countries. Further, Organization for Economic Co-operation & Development (OECD) and G20 countries agreed for automatic exchange on information through Common Reporting Standards (CRS). The Government of India has signed an Inter-Government Agreement (IGA) with US and has also joined the Multilateral Competent Authority agreement (MCAA) for automatic sharing of information with member countries of OECD and G20. By virtue of India signing an IGA with US and joining MCAA, Indian financial institutions will have to provide the required financial information to Indian tax authorities which in turn would forward reportable information to US IRS and member countries of OECD and G20 countries.

In order to implement FATCA and CRS norms in India, Angel One Limited is required to implement procedures to identify U.S. account holders or other jurisdictions reportable accounts, perform due diligence and obtain documentary evidence wherever required and report details of such accounts to relevant tax authority.

**US Person means:** In case of individuals, U.S. person means a citizen or resident of the United States. Persons who would qualify as U.S. persons could be born in United States, born outside the United States of a US parent, Naturalized citizens, Green Card Holders, tax residents.

**Who is Reportable Person (Non US) under Common Reportable Standards (CRS)?**

Under Common Reportable Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S. (Please note the above information is provided only for quick reference to customers. You are requested to consult a legal/ tax advisor if in doubt.

Documents to be collected if Customer's Country of birth is U.S. but declare that he/ she are not a U.S. person

1. Certificate of relinquishment of citizenship (Loss of nationality certificate); OR
2. Self certification for stating reasons for not having such a certificate despite relinquishment U.S. citizenship; OR
3. Self certification for stating reasons for not obtaining U.S. citizenship at birth.

## DECLARATION BY NRI CLIENTS

Date : \_\_\_\_\_

To,  
Angel One Ltd  
G-1, Ackruti Trade Centre, Road No. 7,  
MIDC, Andheri (East), Mumbai - 400 093.

With reference to the opening of trading Account with you for availing facility for trading in Securities on the stock exchanges pursuant to the Regulatory guidelines of stock exchanges / Depository & other voluntary documents executed by me with you, I, hereby unconditionally & unequivocally, state, declare, undertake, confirm Angel One Ltd (hereinafter referred to as "ANGEL") as under:

1. "I/We also declare that I/we have complied and will continue to comply with FEMA & other applicable regulations from time to time."
2. I am nonresident Indian / Person of Indian Origin as defined under Foreign Exchange Management Act (FEMA) and presently visiting India (strike out if not applicable).
3. I understand that the trading account is opened on the basis of statement / declarations made by me, and that if any of the statement / declarations made herein is found to be incorrect in material particulars, ANGEL may discontinue my trading account.
4. I confirm and agree that the trading account will be used for bona fide transactions not involving any violation of the provisions of any applicable Regulations.
5. I undertake to intimate to ANGEL about my return to India for permanent residency immediately on arrival.
6. I confirm that all Investments & disinvestment in India are covered either by general or special permission of Reserve Bank of India.
7. In case of F & O, I shall keep margin deposit amount with \_\_\_\_\_ as stipulated by ANGEL from time to time.
8. I understand that as NRI I am not allowed to do any off market transfer of my holding's to a person other than my relative as defined in section 2 (77) of Indian Companies Act, 2013.
9. Any notice / correspondence / contract notes / statement of accounts / statement of securities sent to me at any of the address given in the Know Your Client (KYC) form in the ordinary course of post / courier / fax or on the email ID mentioned in the said KYC form or intimated separately, as the case may be, for the purpose of receiving electronic contract notes shall be deemed to have been received by me.
10. I hereby confirm having understood that ANGEL may decline to comply with any instructions authorized by me if in the ANGEL's opinion; compliance therewith would be illegal or result in a breach of any applicable laws or regulation.
11. I confirm that the bank having my PIS account is given standing instruction to honor all the contract notes relating to purchase trades received from ANGEL. Copy of the instruction so given to the bank shall be provided to ANGEL for their record.
12. I agree and confirm that in the event I desire to withdraw the standing instruction given as aforesaid, I shall serve at least 15 (Fifteen) working days advance written notice on ANGEL. Such revocation shall be effective only on and from the date of expiry of 15 (Fifteen) working days from the date of service of such notice on ANGEL provided that such revocation shall not have any effect on the transactions in securities executed by me before the effective date of such notice of revocation and I agree and undertake that I shall continue to be liable and bound by the transactions and obligations undertaken by me with ANGEL prior to effective date of such revocation and ensure that bank honor all such instructions received from ANGEL on or before the effective date of revocation.
13. I shall carry out all our secondary market transactions only through ANGEL. In case if I register myself as clients with any other Member Broker, we shall keep ANGEL informed about the same.
14. I hereby authorize ANGEL to ask bank the amount lying as balance in PIS account on daily basis for the purpose of their risk management and I confirm having instructed the bank to extend co-operation in this regard and to disclose balance in the PIS bank account to ANGEL whenever required by ANGEL.
15. I hereby agree and confirm to indemnify and save, defend and keep ANGEL harmless and indemnified, at all times from and against all actions, causes, suits, proceedings, claims and demands whatsoever made on the ANGEL by any person and against all costs charges, expenses, damages and sums of money (including legal expenses) incurred, suffered or sustained by ANGEL for any non compliance of applicable act, rules or regulations by me or due to breach of the terms and conditions of my obligations under Rights and Obligations or any other agreement/document executed by me for availing such services from ANGEL.
16. I agree not to close the bank account without first obtaining No Objection from ANGEL if I am availing the online trading facility for trading in securities. We confirmed having authorised the Bank to reject any such closure request made by me if not accompanied by No Objection

issued by ANGEL. I confirm having authorised the Bank to debit / block my bank account on the instructions issued by ANGEL for any dues that may be outstanding and payable by me to ANGEL for the transactions carried out by me prior to issuance of No Objection.

17. I further, unconditionally state and declare that I shall comply with all applicable Acts, Rules, Regulations etc. in the country / state / area where I am currently residing as well as all statutory and regulatory provisions enforced in India including but not limited to Foreign Exchange Management Act, 2000, Reserve Bank of India Act, 1934 and Securities and Exchange Board of India Act, 1992 for trading on Exchanges, subscription of shares through Initial Public Offerings, Investment in Mutual Funds registered in India etc.

18. I am aware that as per the RBI guidelines the Non-Resident Indians (NRI's) and Persons of Indian Origin (PIO's) are allowed to invest in the secondary capital markets in India through the portfolio Investment Scheme (PIS). Under this scheme, NRI's / PIO's can acquire shares / debentures of Indian Companies through the stock exchanges in India. These investments are governed and monitored on daily basis by the Reserve Bank of India (RBI). On reaching the aggregate ceiling limit as fixed by RBI from time to time, the RBI advises all designated bank branches to stop purchases on behalf of their NRI / PIO clients. The Reserve Bank also informs the general public about the 'caution' and the 'stop purchase' in these companies through a press release. I hereby acknowledge that I am aware of the RBI guidelines in relation to investments in the secondary market in India. I hereby agree to keep myself abreast of the ceiling limits on investments as published by RBI from time to time and also agree that I shall immediately reverse my transaction, if such transaction breaches the ceiling limits as imposed by RBI. In case I am unable to reverse such transaction immediately, I authorise the member to do so under intimation to the Client.

19. I confirm that the information set out here in above is true, complete & accurate & ANGEL shall be informed from time to time of any changes.

 \_\_\_\_\_

(Account Holder Signature )

## UNDERTAKING

To,

Angel One Ltd

G-1, Ackruti Trade Centre, Road No. 7,

MIDC, Andheri (East), Mumbai - 400 093.

Date: \_\_\_\_\_

This is with reference to my passport number\_\_\_\_\_submitted as an identity and address proof which was issued on\_\_\_\_\_and having an expiry date\_\_\_\_\_.

I hereby undertake that the above passport is the only passport that I hold in my name.

Or\*

I hereby undertake that the following passports are held by me :

Sr. No.	Place of issue (country)	Passport Number	Date of Issue	Date of Expiry

\*strike of the option which is not applicable

Thanking you

Yours sincerely,

Name: \_\_\_\_\_

 \_\_\_\_\_

(Account Holder Signature )



Registered / Correspondence Office: 601, 6th Floor, Ackruti Star, Central Road, MIDC, Andheri East, Mumbai – 400093.  
Tel.: 91 22 2835 8800 / 3083 7700. Fax: 91 22 2835 8811. Website: [www.angelbroking.com](http://www.angelbroking.com). CIN: U67120MH1996PTC101709  
Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd./ Metropolitan Stock Exchange of India Ltd. /  
Multi Commodity Exchange of India Ltd. / National Commodity & Derivatives Exchange Ltd. / CDSL-Depository Participant

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**SEBI Registration No.:** INZ000161534

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**BSE & NSE Cash Segment**

**TM Code** - BSE 612 **TM Code** - NSE 12798

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**NSE F&O Segment (Trading Cum Clearing Member)**

**TM Code** - NSE 12798

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**NSE Currency Derivatives Segment (Trading cum Clearing Member)**

**TM Code** - NSE Currency 12798

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**BSE Currency Derivatives Segment (Trading Cum Clearing Member)**

**TM Code** - 612

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**Multi Commodity Exchange of India Ltd. (Trading cum Clearing Member)**

**TM Code** - 12685

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**National Commodity & Derivatives Exchange Ltd. (Trading cum Clearing Member)**

**TM Code** - 00220

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**NSE SLBM segment ( Trading Cum Clearing Member)**

**TM Code** 12798

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**CDSL-Depository Participant - SEBI Registration No.:** IN-DP-CDSL-234-2004 **Date:** 12/5/2004 |

**CDSL DP ID:** 12033200 & 12033201

For any grievance/dispute please contact Angel One Limited at the above address or email id: [support@angelbroking.com](mailto:support@angelbroking.com) and Phone no.: 022-3355 1111 / 4281 5454. In case not satisfied with the response, please contact the concerned exchange(s):  
BSE Email: [is@bseindia.com](mailto:is@bseindia.com) & Tel.: (022) 2272 8097 | NSE Email: [ignse@nse.co.in](mailto:ignse@nse.co.in) & Tel.: (022) 2659 8190 | MCX Email: [customersupport@mcxindia.com](mailto:customersupport@mcxindia.com) & Tel No: (022) 6731 8888 | NCDEX Email: [askus@ncdex.com](mailto:askus@ncdex.com) & Tel No: (022) 6640 6899

Compliance Officer Name: Mr. Hiren Thakkar. Tel.: 91 22 3941 3940. Email ID: [compliance@angelbroking.com](mailto:compliance@angelbroking.com)

CEO Name: Mr. Narayan Gangadhar . Tel.: 022 - 3355 1111 / 4218 5454. Email ID: [support@angelbroking.com](mailto:support@angelbroking.com)

Also refer our website: [www.angelone.in](http://www.angelone.in) for updated details, if any.



For details: Please contact your nearest branch or call  
Centralized Helpdesk at: 022 - 3355 1111 / 4218 5454  
or write to [support@angelbroking.com](mailto:support@angelbroking.com)