



To,
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, C-1, G Block,
Bandra Kurla Complex, Bandra (East),
Mumbai - 400 051.
Symbol: ANGELONE

Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.
Scrip Code: 543235

Subject: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provisions of Regulation 30 read with sub-para 20, Para A, Part A, Schedule III of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015 (Listing Regulations), we hereby submit the disclosure regarding the adjudication order passed by Securities Exchange Board of India (SEBI) on the Company.

The details of the above order as required under Regulation 30 of Listing Regulations are given below:

Name of the Authority	Securities Exchange Board of India
Nature and details of the action(s) taken, initiated or order(s) passed;	<p>Adjudication order no. Order/BM/GN/2024-25/31011 dated November 28, 2024 is passed by SEBI pertaining to Show Cause Notice dated July 31, 2024 bearing reference no. SEBI/EAD-3/BM/GN24728/2/2024.</p> <p>Securities and Exchange Board of India (hereinafter referred to as SEBI) conducted a Comprehensive inspection and Thematic Inspection on Technical Glitches with the exchanges of Angel One Limited (hereinafter referred to as Noticee) from January 15 to 19, 2024 and Thematic Inspection of Authorized Persons on July 10, July 12, July 14, July 18, September 22 and December 01 of 2023. The period covered in the inspection for Comprehensive Inspection was from June 01, 2022 to October 31, 2023 for Thematic inspection of Authorized Persons was from April 01, 2022 to October 31, 2023 and for Thematic inspection of Technical Glitches was from April 01, 2022 to February 12, 2024 (hereinafter referred to as 'Inspection Period').</p> <p>Based on the findings of Inspection conducted by SEBI and the response of the Noticee dated April</p>



Corporate & Regd Office:
601, 6th Floor, Ackruti Star, Central Road, MIDC,
Andheri (E), Mumbai - 400093.
T: (022) 4000 3600
F: (022) 4000 3609
E: support@angelone.in
www.angelone.in

Angel One Limited
CIN: L67120MH1996PLC101709,
SEBI Registration No Stock Broker: INZ000161534,
CDSL: IN-DP-384-2018, PMS: INP000001546,
Research Analyst: INH000000164, Investment Advisor: INA000008172,
AMFI Regn. No. ARN-77404, PFRDA, Regn. No. -19092018.



	<p>16, 2024, April 01, 2024 and May 03, 2024 submitted to SEBI, certain alleged non-compliances were observed of SEBI (Stock Brokers) Regulations, 1992 (hereinafter referred to as Stock Brokers regulation) and circulars issued by SEBI and NSE.</p> <p>Having considered the facts and circumstances of the case, the material available on record, the submissions made by the Noticee, the factors mentioned in Section 15J of the SEBI Act, and also taking into account judgment of the Hon'ble Supreme Court in SEBI vs. Bhavesh Pabari (2019) 5 SCC 90 and in exercise of power conferred under section 15-I of the SEBI Act, 1992 read with rule 5 of the Adjudication Rules, 1995, a penalty of Rs. 600,000/- is being imposed under section 15HB of the SEBI Act, 1992 on the Company.</p>
<p>Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority</p>	<p>November 28, 2024</p>
<p>Details of the violation(s)/contravention(s) committed or alleged to be committed</p>	<p>Adjudication order no. Order/BM/GN/2024-25/31011 dated November 28, 2024 is passed by SEBI pertaining to Show Cause Notice dated July 31, 2024 bearing reference no. SEBI/EAD-3/BM/GN24728/2/2024.</p> <p>Securities and Exchange Board of India (hereinafter referred to as SEBI) conducted a Comprehensive inspection and Thematic Inspection on Technical Glitches with the exchanges of Angel One Limited (hereinafter referred to as Noticee) from January 15 to 19, 2024 and Thematic Inspection of Authorized Persons on July 10, July 12, July 14, July 18, September 22 and December 01 of 2023. The period covered in the inspection for Comprehensive Inspection was from June 01, 2022 to October 31, 2023 for Thematic inspection of Authorized Persons was from April 01, 2022 to October 31, 2023 and for Thematic inspection of Technical Glitches was from April 01, 2022 to February 12, 2024 (hereinafter referred to as 'Inspection Period').</p>



Corporate & Regd Office:
601, 6th Floor, Ackruti Star, Central Road, MIDC,
Andheri (E), Mumbai - 400093.
T: (022) 4000 3600
F: (022) 4000 3609
E: support@angelone.in
www.angelone.in

Angel One Limited
CIN: L67120MH1996PLC101709,
SEBI Registration No Stock Broker: INZ000161534,
CDSL: IN-DP-384-2018, PMS: INP000001546,
Research Analyst: INH000000164, Investment Advisor: INA000008172,
AMFI Regn. No. ARN-77404, PFRDA, Regn. No. -19092018.



	<p>Based on the findings of Inspection conducted by SEBI and the response of the Noticee dated April 16, 2024, April 01, 2024 and May 03, 2024 submitted to SEBI, certain alleged non-compliances were observed of SEBI (Stock Brokers) Regulations, 1992 (hereinafter referred to as Stock Brokers regulation) and circulars issued by SEBI and NSE.</p> <p>Having considered the facts and circumstances of the case, the material available on record, the submissions made by the Noticee, the factors mentioned in Section 15J of the SEBI Act, and also taking into account judgment of the Hon'ble Supreme Court in SEBI vs. Bhavesh Pabari (2019) 5 SCC 90 and in exercise of power conferred under section 15-I of the SEBI Act, 1992 read with rule 5 of the Adjudication Rules, 1995, a penalty of Rs. 600,000/- is being imposed under section 15HB of the SEBI Act, 1992 on the Company.</p>
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	There is no material financial or operational impact or any impact on other activities of the Company due to this order.

Kindly take the same on record.

For **Angel One Limited**

Naheed Patel
Company Secretary and Compliance Officer
ACS: 22506

Date: November 29, 2024
Place: Mumbai



Corporate & Regd Office:
601, 6th Floor, Ackruti Star, Central Road, MIDC,
Andheri (E), Mumbai - 400093.
T: (022) 4000 3600
F: (022) 4000 3609
E: support@angelone.in
www.angelone.in

Angel One Limited
CIN: L67120MH1996PLC101709,
SEBI Registration No Stock Broker: INZ000161534,
CDSL: IN-DP-384-2018, PMS: INP000001546,
Research Analyst: INH000000164, Investment Advisor: INA000008172,
AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.